



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C12Q 1/68, C12P 19/34, C12N 9/96, C07K 16/00, C07H 21/04	A1	(11) International Publication Number: WO 96/22391 (43) International Publication Date: 25 July 1996 (25.07.96)
(21) International Application Number: PCT/US96/00888 (22) International Filing Date: 18 January 1996 (18.01.96) (30) Priority Data: 08/374,050 18 January 1995 (18.01.95) US (60) Parent Application or Grant (63) Related by Continuation US 08/374,050 (CIP) Filed on 18 January 1995 (18.01.95) (71) Applicant (for all designated States except US): THE SCRIPPS RESEARCH INSTITUTE [US/US]; 10666 North Torrey Pines Road, La Jolla, CA 92037 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): JANDA, Kim, D. [US/US]; 3181 Erie Street, San Diego, CA 92117 (US). FENNIRI, Hicam [MA/US]; 7532 Charmant Drive, No. 333, San Diego, CA 92122 (US). LERNER, Richard, A. [US/US]; 7750 E. Roseland Drive, La Jolla, CA 92037 (US).	(74) Agents: LEWIS, Donald, G. et al.; The Scripps Research Institute, 10666 North Torrey Pines Road, TPC-8, La Jolla, CA 92037 (US). (81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AZ, BY, KG, KZ, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(54) Title: ENCODED REACTION CASSETTE		
(57) Abstract A reaction cassette has been designed for the highly sensitive detection of the making and breaking of chemical bonds. The system may be employed as a companion device to be used in the search for antibody and other novel catalysts. The cassette also has important clinical applications in the design of diagnostic reagents. In its fully encoded format this methodology is capable of both detecting and decoding chemical events.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

-1-

ENCODED REACTION CASSETTE

DescriptionTechnical Field

The invention relates to methods and reagents for assaying cleavage and ligation reactions and the activity of catalytic molecules which promote cleavage and ligation reactions. More particularly, the invention relates to assays which employ substrates covalently linked both to a solid phase matrix and to a nucleotide strand encoded to identify the substrate and including polymerization chain reaction (PCR) primer sequences for amplifying such encoded sequences.

Statement of Government Rights:

This invention was made, in part, with government support under Grant No.'s GM 48351 from the National Institutes of Health. The U.S. government may have certain rights in the invention.

Background of the Invention:

Cleavage and ligation reactions can be assayed by monitoring either the appearance of reaction products or the disappearance of substrates. For example, protein or peptide proteolysis can be monitored by following the appearance of cleavage products. Cleavage products may be separated from substrate by gel electrophoresis or by chromatographic separation and monitored by UV absorption or colorimetric assay. Similarly, polynucleotide ligation can be monitored by following the appearance of ligation products.

-2-

If the cleavage or ligation activity is low, the detection signal may require amplification to detect the reaction products. For example, radio labeled substrates may be synthesized and the resulting reaction products may be detected by radio immunoassay. Alternatively, if the substrate is conjugated to an enzyme which catalyzes a colorimetric reaction, the resultant reaction products may be detected by means of an enzyme immunoassay. Highly sensitive enzyme immunoassays have been developed. When employed at their limit of sensitivity, the signal produced by an enzyme immunoassay in response to the presence of reaction product becomes comparable to the background signal.

In the catalytic antibody field, antibody libraries are routinely screened in order to identify catalytically active antibody. There are two limitations to creating a useful catalytic antibody, viz.: 1.) designing a productive immunogen which is an analog of the substrate or reaction intermediate and producing an antibody library therewith; and 2.) screening the resultant antibody library for the desired catalytic activity. In short, the limitations are display and detection. The problem of display can be facilitated by converting the antibody diversity into a combinatorial library in phage where the recognition and replication functions are linked in a single entity and monitored by simple binding events. However, the screening of phage particles which display only a small number of catalytic antibody molecules requires a highly sensitive assay methodology. In such instances, the displayed catalytic activity may be only slightly higher than background activity. Prior art methods

-3-

for assaying cleavage and ligation reactions sometimes lack the requisite sensitivity for identifying small quantities of low activity antibody. In some instances, catalytic antibody

5 having a low level of catalytic activity can be useful if it is the only antibody identified to have such catalytic activity and/or if it is employed in an "evolutionary scheme" for generating antibodies having higher levels of catalytic activity.

10 Accordingly, the sensitivity of the assay employed for screening an antibody library, may be the limiting factor with respect to the identification of useful antibody. The ease or difficulty of the assay may also limit the willingness of workers to perform

15 these assays.

In instances in which one can obtain antibodies to the reaction product or substrate, an immuno-PCR assay may be constructed and employed as a detection

20 system. (T. Sano et al., *Science* (1992): vol. 258, pages 120- 122). An immuno-PCR assay is similar to an enzyme immunoassay except that the enzyme-antibody conjugate is replaced by an antibody conjugated to a PCR amplifiable polynucleotide strand. Immuno-PCR

25 assays are highly sensitive. However, at very low levels of antigen, the immuno-PCR assay is limited by non-specific binding of the antibody-polynucleotide conjugate.

30 What is needed is a highly sensitive assay for detecting cleavage or ligation reactions. The assay should not employ an antibody conjugate and should have the lowest possible background signal. The assay should be labor efficient and should be

35 adaptable for assaying any cleavage or ligation

reaction.

5 Summary of the Invention:

 The invention is directed to an encoded reaction
cassette employable for detecting cleavage or
ligation reactions and to assays employing such
cassettes. Cassettes may be constructed for assaying
10 any cleavage or ligation reaction. The cleavage or
ligation reaction may be catalyzed or spontaneous,
i.e., without benefit of a catalyst.

 A reaction cassette is designed for the highly
15 sensitive detection of the making and breaking of
chemical bonds. The system may be employed as a
companion device to be used in the search for
antibody and other novel catalysts. The cassette may
also have important clinical applications in the
20 design of diagnostic reagents. In its fully encoded
format this methodology is capable of both detecting
and decoding chemical events.

 The assay of the present application was
25 originally developed for application in the field of
antibody catalysis. However, it may also be employed
for assaying any synthetic or enzymatic reaction,
including those important to diagnostic assays in
medicine. When one is using the cassette to search
30 for a single reaction, only one DNA sequence is
necessary. However, in a fully encoded format, one
can test multiple substrates simultaneously by using
unique polynucleotide sequences for each substrate.
The nature of the reaction that occurred may be
35 simply determined by the sequence of the

-5-

polynucleotide either after the PCR reaction or upon cloning of the polynucleotide according to the method of A.D. Mirzabekov (TIBTECH (1994): vol. 12, pages 27-32). In essence, one can create an encoded
5 combinatorial library of substrates to learn about reaction specificities. One can design systems in which a combinatorial library of catalysts is screened against a combinatorial library of substrates to find new catalysts and refine their
10 substrate specificity in a single operation. Finally, the disclosed method for constructing encoded cassettes may be adapted to an operating procedure in which a substrate cassette is built as a companion to any experiment where one is searching
15 for a new catalyst. This enables the researcher or investigator to design experiments which are independent of whether the reaction products can be easily assayed by prior art methods. Thus, each time one contemplates searching for an enzyme the first
20 step is to construct an encoded reaction cassette to detect reactivity.

More particularly, the invention is directed to an encoded reaction cassette for assaying a cleavage
25 reaction. The reaction cassette includes a substrate covalently linked to a solid phase matrix, wherein the substrate is of a type which is susceptible to cleavage by means of the cleavage reaction. Linked to the substrate is a first polynucleotide which
30 includes a first PCR primer sequence, an encoding sequence, and a second PCR primer sequence. The encoding sequence is positioned between the first and second PCR sequences. In a preferred embodiment, the encoded reaction cassette may also include a first
35 and second linker. The first linker covalently links

the solid phase matrix to the substrate. The second linker covalently links the substrate to the first polynucleotide. Peptides are preferred substrates. However, any cleavable substrate may be employed. In
5 an alternative embodiment the encoded reaction cassette may include one or more additional polynucleotides linked to the substrate for further amplifying the signal. These additional polynucleotides also include the same first PCR
10 primer sequence, encoding sequence, and second PCR primer sequence and may be linked to the substrate via additional linkers.

The invention is also directed to an admixture
15 of cleavage products from an encoded reaction cassette which has been exposed to a cleavage agent. This admixture includes a solid phase cleavage product and a soluble phase cleavage product. The solid phase cleavage product includes a first
20 ~~cleavage product of a substrate~~ covalently linked to the solid phase matrix. The soluble phase cleavage product includes a second cleavage product of the substrate covalently linked to the first polynucleotide. The invention is also directed to
25 a method for detecting a cleavage agent within a sample. The method comprises the following steps. In the first step, the sample is admixed with an encoded reaction cassette under reaction conditions for promoting cleavage of the substrate to produce a
30 cleavage products. If the sample has cleavage activity, cleavage products will be generated, i.e., a solid phase cleavage product and a soluble phase cleavage product. In the second step, soluble phase cleavage product is separated and isolated from the
35 solid phase cleavage products and from uncleaved

5 encoded reaction cassettes. In the third step, the encoding sequence of the polynucleotide of the soluble phase cleavage product isolated in the second step is amplified by means of a polymerization chain reaction (PCR). In the fourth step, the amplified encoding sequence amplified is detected. And, in an alternative fifth step, the signal obtained in the fourth step is correlated with known substrates and/or cleaving agents to obtain quantitative results.

10

Another aspect of the invention is directed to an admixture of unligated reactants for producing an encoded ligation cassette for assaying a ligation reaction. The admixture may include a solid phase ligation component and a soluble phase ligation component. The solid phase ligation component includes a first ligation reactant covalently linked to a solid phase matrix. The soluble phase ligation component includes a second ligation reactant covalently linked to a first polynucleotide. As before, the first polynucleotide includes an encoding sequence positioned between a first PCR primer sequence and a second PCR primer sequence. The first and second ligation reactants are capable of ligation in the presence of a ligating agent to join the solid phase and soluble phase ligation components so as to form an encoded ligation cassette. In a preferred embodiment, the first and second ligation reactants are fragments of a ligatable oligonucleotide. However, any pair of ligatable molecules may be employed. The encoded ligation cassette is analogous to the encoded reaction cassette except that a ligation product separates the solid phase matrix from the first polynucleotide. However, unlike the

15

20

25

30

35

5 encoded reaction cassette, the encoded ligation cassette need not be susceptible to cleavage by a cleavage agent. Preferred ligating agents have ligation activity with respect to the first and second ligation reactants. More particularly, a preferred ligation agent is polynucleotide ligase and preferred first and second ligation reactants are ligatable oligonucleotides.

10 The invention is also directed to a method for detecting a nucleotide ligating agent within a oligonucleotide sample. The method includes several steps. In the first step, the sample is combined with an admixture of ligation components. The
15 resultant admixture is the incubated for producing an encoded ligation cassette. In the second step, the encoded ligation cassette formed above is then separated and isolated together with unligated portions of the solid phase ligation component from
20 the unligated portion of the soluble phase ligation component. The encoding sequence of the polynucleotide of the encoded ligation cassette may then be amplified by means of PCR, detected, and correlated with the presence of the ligation agent.
25 Polynucleotide ligase is a preferred ligation agent. In this instance, the ligation product included within the encoded ligation cassette is a polynucleotide susceptible to ligation by the ligase.

30 The encoded reaction cassette operates through the liberation (bond cleavage event) or capture (bond formation event) of a polynucleotide that can be amplified and decoded. Chemical methods for the synthesis and assembly of this device are disclosed
35 together with a detailed characterization and

optimization of the parameters that influence its sensitivity and practicality. In the bond cleavage detection mode, using α -chymotrypsin as an exemplary catalyst, the specificity of the reaction cassette is demonstrated through the selective recognition and cleavage of peptide substrates differing in sequence by only one amino-acid ($\text{Ala}_2\text{-Tyr-Ala}_2$ versus $\text{Ala}_2\text{-Phe-Ala}_2$). The cassettes sensitivity (0.1-1 pmoles, 5-50 nM) is the same whether using 0.01 mg (1 bead) or 10 mg (10000 beads), but is dependent on the concentration of α -chymotrypsin. The amount of this enzyme, however, can be decreased to as little as 2400 molecules provided the concentration is kept ≥ 5 nM. In the bond formation detection mode, α -chymotrypsin catalyzed peptide bond formation was not possible because of hindrance reasons, whereas chemically catalyzed bond formation (reductive amination of an aldehyde) could be performed, and was used as the prototype reaction for the demonstration of the second part of the principle of the reaction cassette, namely, the detection of the formation of a chemical bond.

Brief Description of the Figures:

Figure 1 illustrates the principle of the reaction cassette.

Figure 2 illustrates the spacers which are used for the assembly of the reaction cassettes.

Figure 3 illustrates the synthetic scheme for the preparation of spacers III-VII. The indicated synthetic steps are as follows: (a) K_2CO_3 , DMF, 90-100 °C; (b) Cs_2CO_3 , KI, DMF, 90-100 °C; (c) Cs_2CO_3 , $n\text{-Bu}_4\text{NI}$, DMF, 85 °C; (d) Ag_2O , $n\text{-Bu}_4\text{NI}$ or KI, THF, with or

-10-

without sonication, 20°C; (e) NaH, THF, 20°C; (f) Na, THF, 20°C; (g) DHP, MeOH, 1 drop HCl concd; (h) DEAD, PPh₃, Phthalamide, THF, 20°C; (i) DMTrCl, pyridine, 20°C; (j) (NH₂)₂, ethanol, 80°C; (k) TsCl, pyridine, 20°C; (l) Na, 23; (m) PDC, DMF, 20°C; (n) FmocCl, Na₂CO₃, dioxane-H₂O, 0°C to 20°C; (o) (Boc)₂O, Et₃N, DMF, 0°C to 20°C.

Figure 4 illustrates the substrates studied in the detection of bond cleavage or formation.

Figure 5 illustrates the synthesis and use of the reaction cassette for the detection of α -chymotrypsin catalyzed bond cleavage. The top reaction shows the use of SPPS (solution phase peptide synthesis) on a NovaSynKD(CH₂CH₂NH₂) matrix with the spacer VI or VII, Fmoc-Ala₂, Fmoc-Tyr(OSit-BuMe₂), and spacer 1. The next reaction shows the attachment of spacer VIII which is followed by DNA synthesis using β -cyanoethyl phosphoramidites to afford a fully protected reaction cassette which is sequentially deprotected with concentrated ammonia and TBAF followed by extensive washings. The cassette is then ready for use in bond cleavage detection upon exposure to α -chymotrypsin followed by PCR amplification and assay.

Figure 6 illustrates the synthesis of the east side (S9, S10) of the reaction cassette for the detection of enzymatically and chemically catalyzed bond formation.

Figure 7 illustrates the synthetic approach for the detection of enzyme catalyzed bond formation: Coupling of the west side (C34 or C35 - derived from

-11-

commercially available compounds 24 or 25) to the east side (89 - synthesis shown in figure 6). The cassette is then ready for use in an enzyme catalyzed bond formation upon exposure to α -chymotrypsin followed by PCR amplification on a solid support and detection by fluorescent assay.

Figure 8 illustrates the synthetic approach for the detection of chemically catalyzed bond formation: Coupling of the west side (C36) to the east side (810) in a 60% DMSO/0.1 borate buffer pH 10 solution. The mixture is then reduced using sodiumcyanoborohydride, followed by extensive washing and then PCR amplification on a solid support with detection by a fluorescent assay.

Figure 9 illustrates the templates studied to improve the polymerase chain reaction (PCR). Figure 10 illustrates the general scheme of the first generation reaction cassettes (C1-C6).

Figure 11 illustrates the general scheme of the second generation reaction cassettes (C7-C25).

Figure 12 illustrates bond cleavage detection. PCR products of samples from the medium containing the reaction cassette indicated on top of each lane, with or without α -chymotrypsin: Lanes 1, 3, 5, and 7 correspond to the PCR products of a sample from the medium containing α -chymotrypsin (5 nM), and Cassette C7, C10, C31, and C32 respectively; Lanes 2, 4, 6, and 8 correspond respectively to the same experiments without α -chymotrypsin. The positive control (Lane 9) corresponds to the PCR product of an authentic sample of the DNA 45mer. The negative control (Lane 10)

-12-

corresponds to the same experiment without the DNA 45mer. TG-NH₂ and NSKD-NH₂ correspond respectively to unfunctionalized TentaGel and NovaSyn KD resins bearing an amino terminus group.

5

Figure 13 illustrates the general scheme of the third generation reaction cassettes (C26-C32).

10

Figure 14 illustrates bond formation detection. PCR products of one bead from the medium containing the functionalized or unfunctionalized resin (NSKD-VI₂-NH₂, TG-NH₂, C34, C35, or C36), with or without the functionalized polynucleotide (89, 810), and with or without α -chymotrypsin, as indicated on top of each lane. Positive and negative controls are as in Figure 12. NSKD-VI₂-NH₂ corresponds to NovaSyn KD resin bearing two spacers VI and an amino terminus group; TG-NH₂ corresponds to TentaGel resin bearing an amino terminus group.

15
20

Figure 15 illustrates the chemical structure of spacer units (L-I to L-IV) used in the construction of the cassettes.

25

Figure 16 illustrates the reaction specificity of a peptide reaction cassette with respect to indicated proteolytic enzymes.

30

Figure 17 illustrates the reaction sensitivity of the peptide reaction cassette of Figure 16 as a function of time.

35

-13-

Detailed Description:**DESIGN FEATURES OF THE CASSETTE**

The invention combines two prior art methods, viz.: 1. the technique of synthesizing polymers on solid support; and 2. the technique of PCR (Polymerase Chain Reaction). The overall approach is illustrated in Figure 1.

10 The central operative feature of the reaction cassette is the liberation (cleavage event) or capture (bond formation) of a polynucleotide containing two primers (Figure 1). Thus, when an appropriately functionalized solid support (Figure 1) is exposed to a catalyst or a library of catalysts
15 that are able to selectively cleave the reaction cassette at the substrate juncture, single stranded DNA (polynucleotide) will be released and can be amplified by the PCR. Furthermore, the sequence of the polynucleotide may be chosen in such a way that
20 it reflects the nature of the substrate, so that a library of encoded substrates can be designed. When substrate libraries such as these are exposed to a library of catalysts one can identify not only the catalyst but also the substrate since the sequence of
25 the cleaved polynucleotide encodes and thus identifies which substrate sequence has been cleaved. In addition to the above methodology, our cassette reaction technique allows one to follow a bond formation event via the inverse pathway (figure 1).
30 In this initial report we describe the application of our methodology to the study of enzyme catalyzed bond cleavage.

35 Three generations of reaction cassettes are disclosed herein. The first generation is based on

-14-

Controlled Pore Glass (CPG) solid support. The second generation is based on a 70% w/w copolymer of polyethyleneglycol and polystyrene (TentaGel). The third generation is based on a composite matrix (NovaSyn KD).

Matrix Support:

The ideal support for a reaction cassette is mechanically and chemically compatible with the synthetic techniques used to assemble the cassette (peptide and DNA synthesis) while also being accessible to the catalyst employed (enzymes, abzymes, etc.). Polystyrene based matrices, for instance, are too hydrophobic for their use in enzyme catalyzed reactions while CPG was inappropriate due to poor chemical and mechanical stability (liberation of the polynucleotide-substrate conjugate leads to an undesired background reaction). TentaGel was found to be mechanically stable and chemically compatible with peptide substrates and DNA synthesis. However, this matrix is not preferred because it is too hydrophobic in character and does not allow optimal accessibility to the enzyme. For this reason, the third generation cassettes were designed and are disclosed herein. NovaSyn KD resin, a composite matrix derived from polydimethylacrylamide gel retained within a macroporous structure of kieselguhr inorganic particles was used. This matrix is more hydrophilic, mechanically and chemically stable and more compatible with biocatalysis. (Meldal, M.; Svendsen, I.; Breddam, K.; Auzanneau, F-I. *Proc. Natl. Acad. Sci. USA* 1994, 91, 3314.)

Spacers:

The spacers are preferably heterobifunctional, chemically stable, and compatible with both substrate

-15-

and DNA synthesis. One end should be readily attachable to the substrate portion and the other should be equipped with a hydroxyl functionality for DNA synthesis.

5

The first spacer on the cassette is located between the matrix and the substrate, while the second between the substrate and the polynucleotide portion (Figure 1). The length of both spacers is crucial for the success of the methodology.

10 (Nielsen, J.; Janda, D. K.; Brenner, S. J. *Am. Chem. Soc.* 1993, 115, 9812.) For CPG and NovaSyn KD, a distance of at least 30-40 Å between the resin and the substrate is preferred in order to avoid

15 hindrance that may result from proximity to the matrix core. This is a distance corresponding to twice the length of spacer II. TentaGel is already endowed with a long polyethyleneglycol chain which serves as the first spacer. The length of the second

20 spacer (between the substrate and the polynucleotide) is disclosed to be less important for the catalytic reaction studied but was critical for DNA synthesis (vide infra).

25

Different types and combinations of spacers for the construction of the cassettes are disclosed in Figure 2. Spacers I-VII were synthesized while VIII and IX (Glen Research) and X (Millipore) are commercially available. Spacers I and II were

30 prepared according to literature procedures and were introduced in our initial design (first and second generation cassettes). The synthesis of Spacer I is disclosed by Schaller et al. (Schaller, H.; Weimann, G.; Lerch, B.; Khorana, H. G. *J. Am. Chem. Soc.* 1963,

35 85, 3821.) The synthesis of Spacer II is disclosed

-16-

by Nielson et al. (Nielsen, J.; Janda, K. D. *Methods* 1994, 6, 361.) Spacer I is the shortest spacer. In many instances, it is too tight for optimal reactivity between catalyst and substrate. Its preferred use is in sequence with other spacers. Spacer II was found to be chemically labile at the acetoxy bond under the strongly acidic and basic conditions required in SPPS (Boc-amino acid chemistry) and DNA synthesis respectively. (Stewart, J.; Young, J. *Solid Phase Peptide Synthesis*; Pierce Chemical Company: Rockford, Illinois, 1984.)

Spacers III and IV have free amino groups and can be attached to a substrate with a leaving group or carboxy terminus. Spacers V-VII are the longest; they can form an amide bond with peptide substrates and can be attached in sequence of two or more as opposed to spacers I, III, and IV. Spacers V-VII were used in combination with spacers I, III or IV. Interconversion of these spacers allows for the synthesis of DNA at the terminal hydroxyl group generated. Spacer VIII can be automatically introduced between the substrate and the polynucleotide on a DNA synthesizer, this provided that I, III or IV are already attached, or the substrate has a hydroxyl functionality. The deprotection step (hydrazine/ethanol (1/1)) required to quantitatively free the amino terminus of V was found to partially cleave the substrate from the resin. At lower hydrazine concentrations (0.2 M) the reaction is slow (24 hours) and incomplete (80-90%). (Bertozzy, C. R.; Bednarski, M. D. *J. Org. Chem.* 1991, 56, 4326.). This spacer is preferably employed with for more stable substrates. Spacers

VIII-X were used to derivatize the oligonucleotide at the 3' end (DNA synthesizer ready) for studies on bond formation detection. The synthetic scheme for the preparation of III-VII is shown in Figure 3.

5

Substrates:

α -Chymotrypsin is employed as an exemplary catalyst for the implementation of the reaction cassette. This enzyme is known to cleave amide bonds at the C-terminus of aromatic and hydrophobic amino acids such as Leucine, Methionine, Phenylalanine, Tyrosine. (Hess, G. P. in *The Enzymes*, Boyer P. D. (Ed.), Academic Press: New York, 1971, pp. 213-248.) This enzyme is also known to catalyze the formation of a peptide bond between Tyrosine methyl ester and the primary amine of Alanine. (Lane, J. W.; Hong, X.; Schwabacher, A. W. *J. Am. Chem. Soc.* 1993, 115, 2078.) Substrates S1-S7 (Figure 4) were assembled according to standard Boc and/or Fmoc methodologies. (Atherton, A.; Sheppard, R. C. *Solid Phase Peptide Synthesis: A Practical Approach*, Oxford University Press: Oxford, 1989.)

25

The peptides S1 and S2 were used in the first generation, while S3-S7 were used in the second and third generation cassettes. α -Chymotrypsin cleaves substrate S4 ~ 10 fold more efficiently than S3, and $\sim 10^3$ fold more efficiently than S1, as estimated from data obtained for similar peptides, viz., Baumann, W. K.; Bizzozero, S. A.; Dutler, H. *Eur. J. Biochem.* 1973, 39, 381; and Fisher, G.; Bang, H.; Berger, E.; Schellenberger, A. *Biochimica Biophysica Acta* 1984, 791, 87. This characteristic may be employed to probe the specificity of α -chymotrypsin within our

35

-18-

cassette system. Peptide 84 is one of the best substrates of α -chymotrypsin. Accordingly, this substrate is employed herein to exemplify a preferred lower limit of sensitivity of the cassette system.

5 Peptide 87 was prepared in order to probe the interaction at the P4, P5, P4' and P5' sites of α -chymotrypsin. Peptide 88 was prepared in 5 steps (Figure 7) using standard solution phase peptide chemistry (Supplementary Material) and was used in

10 the detection of enzyme catalyzed bond formation. The synthetic procedure used to assemble the cassette is illustrated in Figure 5 with NovaSyn KD as solid support using spacers I, VI (or VII) and VIII. This synthetic scheme is rapid and straightforward since

15 Fmoc-Ala₂₁₇ and Fmoc-Tyr(OSit-BuMe₂) were used as building blocks in this synthesis. (Fisher, P. M. Tetrahedron Lett. 1992, 33, 7605.) The first and second spacers are attached according to standard SPPS. Spacer VIII is introduced automatically on the

20 DNA synthesizer employing the same procedure used to assemble the polynucleotide. Indeed, this spacer can be attached in sequence in one or more copies. Upon completion of DNA synthesis, the polynucleotide is deprotected, followed by a 15 min incubation in 1 M

25 TBAF in THF to remove the silicone protecting group of Tyrosine. After this step the cassette is ready for use in the bond cleavage detection mode.

For bond formation the units of the substrate to

30 be attached on the resin are prepared separately. Figure 6 shows the synthesis of the east side of the reaction cassette: The polynucleotide-peptide conjugate (89) and the polynucleotide amino-functionalized at the 5' end (810). The 89 unit was

35 prepared following conventional DNA synthetic

procedures using methyl phosphoramidite monomers that are stable to the conditions of SPPS. The unit 810 could be prepared in a similar manner (same monomers) or using Expedite β -cyanoethyl phosphoramidites monomers (Millipore) which are more easily deprotected.

DNA synthetic procedures:

Standard DNA synthesis was improved upon using CPG or polystyrene solid supports. CPG does have less preferred chemical and mechanical properties (vide supra). Polystyrene based matrices have less preferred hydrophobic characteristics.

The standard 1 μ mole procedure of the 394 Applied Biosystem DNA synthesizer (termed procedure A) using phosphoramidites chemistry was modified for the second generation cassettes (termed procedure B) as described previously. (Gait, M. J. *Oligonucleotide Synthesis : A practical Approach*: Oxford University Press: New York, 1990.) Procedure B was further modified (termed procedure C) in order to adapt it to CPG, NovaSyn KD and TentaGel resins (see experimental section). Table 1 shows the improvements in average stepwise yield (ASWY) and overall yield (OAY) using procedures A-C on CPG (cassette C1), TentaGel (cassette C10) and NovaSyn KD (cassette C29).

Table 1.

ASWY (average stepwise yield) and OAY (overall yield) for DNA synthesis using different resins and modified procedures.

	Procedure A ^a	Procedure B ^b	Procedure C ^c
CPG (C1)	98.8%, 58.1%	99.3%, 72.9%	99.4%, 76.3%
TentaGel (C10)	Fails ^d	96.9%, 24.2%	99.2%, 69.7%
NovaSyn KD (C29)	Fails ^d	95.0%, 9.9%	98.5%, 42.4%

a 1 micromole standard procedure of the 394 Applied Biosystem DNA Synthesizer.

b Same as procedure A but modified as in reference 5.

c Same as procedure A, but modified as in the methods section.

d An ASWY < 95% is considered a failure because the OAY in this case is < 9.9%.

-21-

Two other factors played a major role in the success of the DNA synthesis, the first being the length of the spacer between the substrate and polynucleotide and the second being the loading of the resin. In general, longer spacers between the substrate and the polynucleotide and lower loadings result in better ASWY's for DNA synthesis (see Tables 2-4). For instance, on TentaGel with procedure B, the ASWY is 96.9% with I (C10), 98.8% with VI (or VII) and I (C20), and 99.8% with 2(VI) and I (C21). On the same resin with I, and procedure B, when the loading is 172.1 μ moles/g (C12) DNA synthesis fails, and when the loading is 59.9 μ moles/g (C10), DNA synthesis works successfully with an ASWY of 96.9%. With high loading, the synthesis fails, regardless of the presence or absence of a long spacer between the substrate and the polynucleotide.

In all cases studied, the yield of DNA synthesis after deprotection with concentrated ammonia varies from 24% to 100% depending on the cassette (~70% on average, see last column of Tables 3 and 4). Without the substrate portion, the deprotection is quantitative. Taken together with the fact that concentrated ammonia affects the matrix to a lesser extent before DNA synthesis (10-20% loss), these results indicate that during DNA synthesis, labile bonds, branching points, etc., are generated which upon treatment with conc. ammonia are eliminated. The amplitude of this side reaction may sometimes vary from one cassette to another.

Table 2. Reaction cassettes prepared with CPG solid support and template A as the tag.

N°	1st Spacer	Substrate	2nd Spacer	Loading before DNA synthesis ^{a,f}	DNA synthesis ASWY, OAY ^{b,f}	Loading after DNA synthesis ^{c,f}	OAY before DNA deprotection ^d	Loading after DNA deprotection ^{e,f}
C1	2II	S1	I	43.7	98.8%, 58.1%	18.8	43%	0%
C2	"	S2	"	43.2	98.8%, 58.1%	17.4	40.3%	"
C3	"	S1	II-I	32.2	99.3%, 72.9%	23.5	72.9%	"
C4	"	S2	"	31.3	99.4%, 76.3%	24	76.7%	"
C5	"	S1	2II-I	24.6	98.9%, 60.8%	12.1	49.2%	"
C6	"	S2	"	27.9	99.4%, 76.3%	21.5	77.2%	"

^a Micromoles of peptide substrate per gram of resin; ^b Average stepwise yield (ASWY) and overall yield (OAY) using procedure A, B or C (see methods section); ^c Micromoles of peptide-polynucleotide hybrid per gram of resin before DNA deprotection; ^d OAY of DNA synthesis determined from the ratio of loading before (column 5) and after (column 7) DNA synthesis; ^e Micromoles of peptide-polynucleotide conjugate per gram of resin after DNA deprotection with concentrated ammonia; ^f Data determined using the dimethoxytrityl cation assay and/or the Fmoc assay.

Table 3.
Reaction cassettes prepared with Tentagel solid support and template A as the tag.

N°	1st Spacer	Substrate	2nd Spacer	Loading before DNA synthesis ^{a,f}	DNA synthesis ASWY, OAY ^{b,f}	Loading after DNA synthesis ^c	Loading after DNA deprotection ^{d,f}	OAY after DNA deprotection ^{e,f}
C7	(CH ₂ CH ₂ O) ₄	S3	I	50.8	98.4%, 48.4%	24.6	21.5	87.4%
C8	"	S4	"	44.3	98.5%, 50.7%	22.5	17.2	76.6%
C9	"	"	"	50.8	98.1%, 42.2%	21.4	16.7	77.9%
C10	"	"	"	59.9	96.9%, 24.2%	14.5	7	48.3%
C11	"	"	"	140.7	Fails ^g	-	-	-
C12	"	"	"	172.1	Fails ^g	-	-	-
C13	"	S5	"	64.5	98.0%, 40.3%	26	9.6	36.9%
C14	"	"	"	87.8	Fails ^g	-	-	-
C15	"	S6	III	42.5	97.9%, 38.5%	16.4	14	85.6%

-24-

N°	1st Spacer	Substrate	2nd Spacer	Loading before DNA synthesis ^{a,f}	DNA synthesis ASWY, OAY ^{b,f}	Loading after DNA synthesis ^c	Loading after DNA deprotection ^{d,f}	OAY after DNA deprotection ^{e,f}
C16	"	"	III- VIII	"	99.3%, 72.9%	31	12.4	40.0%
C17	"	"	"	92	Fail ^g	-	-	-
C18	"	"	IV	38.2	97.8%, 36.8%	14.1	14.4	100%
C19	"	"	"	88.2	Fail ^g	-	-	-
C20	"	S4	VI-I	52.6	98.8%, 58.1%	30.6	15.9	52.0%
C21	"	"	2VI-I	23.1	99.8%, 91.4%	21.1	9.7	45.9%
C22	"	"	2VI-I-	"	98.5%, 49.9%	11.5	7.2	62.5%
C23	"	"	2VI-I- 3VIII	"	98.1%, 39.8%	9.2	6.2	67.5%
C24	"	"	2VI-I- 5VIII	"	98.0%, 35.7%	8.3	6	72.8%
C25	"	S7	I	70.7	"	-	-	-

-25-

Table 3. (Continued)

- a Micromoles of peptide substrate per gram of resin.
- b Average stepwise yield (ASWY) and overall yield (OAY) using procedure B or C (see methods section).
- c Micromoles of peptide-polynucleotide conjugate per gram of resin determined using the loading before DNA synthesis (column 5) and the OAY of DNA synthesis (column 6).
- d Micromoles of peptide-polynucleotide conjugate per gram of resin after DNA deprotection with concentrated ammonia.
- e OAY determined from the ratio of loading before DNA synthesis (column 5) and after DNA synthesis and deprotection (column 8).
- f Data determined using the dimetoxytrityl cation assay and/or the Fmoc assay.
- h DNA synthesis was not performed on this resin, see text.
- g An ASWY < 95% is considered as a failure because the OAY would be < 9.9%.

Table 4.

Reaction cassettes prepared with NovaSyn KD solid support and with E as the tag.

N°	1st Spacer	Substrate	2nd Spacer	Loading before DNA synthesis ^a	DNA synthesis ASWY, OAY ^b	Loading after DNA synthesis ^c	Loading after deprotection ^d	OAY after DNA deprotection ^e
C26	VI	S4	I	83.6	98.5%, 50.7%	42.4	16.9	39.9%
C27	"	"	I-VIII	"	98.5%, 49.9%	41.7	26.5	63.5%
C28	"	"	I- 2VIII	"	97.0%, 23.9%	20	17.7	88.6%
C29	2VI	"	I	78.3	98.0%, 40.3%	31.6	16.6	52.6%
C30	"	"	I-VIII	"	98.2%, 43.4%	34	30.1	88.7%
C31	"	"	I- 2VIII	"	97.9%, 36.9%	28.9	24	83.1%
C32	"	S7	"	62.2	97.5%, 30.4%	18.9	9.3	49.1%
C33	"	S7	I	62.2	"	-	-	-

Table 4. (Continued)

- a Micromoles of peptide substrate per gram of resin.
- b Average stepwise yield (ASWY) and overall yield (OAY) using procedure C (see methods section.
- c Micromoles of peptide-polynucleotide conjugate per gram of resin determined using the loading before DNA synthesis (column 5) and the OAY of DNA synthesis (column 6).
- d Micromoles of peptide-polynucleotide conjugate per gram of resin after DNA deprotection with concentrated ammonia.
- e OAY determined from the ratio of loading before DNA synthesis (column 5) and after DNA synthesis and deprotection (column 8).
- f Data determined using the dimethoxytrityl cation assay and/or the Fmoc assay.
- g DNA synthesis was not performed on this resin.

-28-

5 A preferred synthetic strategy utilized to build the east side of the reaction cassette for the detection of enzyme catalyzed bond formation is shown in Figure 6. This unit (S9) requires the use of more stable monomers for DNA synthesis. A coupling step with Fmoc-Ala₂ has to be performed in the presence of a strong base (DIEA). Under such conditions, the usual β -cyanoethyl protecting group (used in bond
10 cleavage detection) can be lost and thus lead to undesired side reactions. The methyl phosphoramidite monomers proved to be more appropriate as a result of their inherent stability. For chemically catalyzed bond formation this limitation does not apply, and
15 methyl or β -cyanoethyl phosphoramidite monomers can be used to prepare S10 (Figure 6).

PCR protocols:

20 Two critical aspects of the encoded reaction cassette are the sequence and length of the tag. In terms of the tag length, it should be as short as possible for a better OAY in DNA synthesis. In addition, it must be specifically amplified with a high sensitivity.

25 Several templates (A-F, Figure 9) were characterized under various PCR conditions, e.g., pH, [MgCl₂], [primer], [enzyme], cycles, and temperature. Templates A-F differ by the sequence of the primers and G/C content. These two factors are responsible
30 for template tertiary structure and mispriming. Upon analyzing tertiary structure and self-complementary sequences within the template and with the primers using computer modeling (DNASIS, Amplify and OLIGO),
35 it was determined that the primer sequence is the

-29-

essential ingredient for efficient amplification. The reason for this is that the templates engaged are so short that they can be amplified at low temperature where mispriming occurs readily. With template A (1st and 2nd generation), templates B-E, and template F (third generation), the sensitivity could not be improved below 10^{-14} moles of template under PCR conditions previously reported without increasing non-specific amplification products.

10

In a preferred mode, Hot Start PCR techniques for the third generation cassettes, such as TaqStart PCR (Clontech), AmpliWax (Perkin-Elmer), and HotWax (Invitrogen). (Mullis, K. *PCR Methods and App.* 1991, 1, 1-4; and D'Aquilla, R.; Bechtel, L. J.; Videler, J. A.; Eron, J. J.; Gorczyca, P.; Kaplan, J. C. *Nucl. Acids. Res.* 1991, 13, 3749.) The latter technique is preferred and yield good best results. The technique is based on a wax containing Mg^{++} which is added to the reaction mixture before cycling. Heating at $94^{\circ}C$ for 30 seconds before the first cycle liberates Mg^{++} in solution and allows Taq polymerase to proceed. In this manner mispriming that can occur at low temperature cannot be amplified since Mg^{++} is liberated only at high temperature. Because of its low density the melted wax remains on the surface of the aqueous layer which also serves to prevent evaporation of the solution. With Template E the sensitivity could be improved to 10^{-17} moles but the yield and specificity are poor (weak bands and non-specific products co-migrating with the desired product). Template F gave the best results under Hot Start PCR conditions using HotWax and modified PCR protocol (see experimental section). Now we were able to detect as little as 60-600 molecules of

35

-30-

template on agarose gel with ethidium bromide staining. Finally it should be mentioned that it is preferred to work under strictly sterile conditions to avoid contamination and carry-over when such a small amount of molecules is to be detected. (Rolfs, A.; Schuller, I.; Finckh, U.; Weber-Rolfs, I. *PCR: Clinical Diagnostics and Research*; Springer-Verlag: Berlin Heidelberg, 1992, chapter 5; and Innis, M. A.; Gelfand, D. H.; Sninsky, J. J.; White, T. J.; (Eds) *PCR Protocols. A Guide to Methods and Applications*; Academic Press: San Diego, 1990.)

Bond cleavage:

First Generation Cassette. This generation was assembled on CPG with (D) and (L) amino acids, and with different combinations of spacers using standard solid phase peptide and DNA synthesis. The ASWY for peptide synthesis was 95-98%, and 99% for DNA synthesis (see Table 2 and Figure 10). Template A (Figure 9) and a combination of spacers I and II were used in this first generation.

Conventional ammonia deprotection of the polynucleotide leads to quantitative cleavage of the peptide-polynucleotide conjugate from the resin. This result was the same when Expedite phosphoramidites (Millipore) is employed. The method requires only a short exposure to concentrated ammonia, e.g., 1 hour instead of 16 hours. The cassette is not cleaved by the enzyme when the DNA is not deprotected. This result was attributed to its hydrophobic character when protected. Mild deprotection of the polynucleotide on the phosphate backbone (overnight incubation with DBU 0.5 M in anhydrous DMF) does not affect the reaction cassette.

-31-

This partial deprotection makes the cassette more hydrophilic and allows α -chymotrypsin to reach and cleave the peptide substrate of the cassette leading to the liberation of the polynucleotide in solution. Unfortunately the background reaction (spontaneous cleavage) accounts for 25% of the reaction, and makes this first generation cassette impractical.

Second Generation Cassette.

This generation is based on TentaGel resin. Several cassettes are disclosed herein, with both (L) and (D) amino acids, with different combinations of spacers and different loadings (Figure 11 and Table 3). As opposed to CPG and NovaSyn KD based cassettes, TentaGel is already endowed with a long polyethyleneglycol side arm. It is not necessary to introduce a spacer between the resin and the substrate. All TentaGel based resins with a loading $> 80 \mu\text{moles/g}$ were eliminated from our studies because the cassette could not be assembled in good yields (Table 3).

α -Chymotrypsin cleavage of the substrate: a) in the presence and, b) in the absence of spermine (1 mM), c) with or, d) without DMSO (20%), e) with or, f) without BSA (1%), g) with or, h) without single stranded DNA binding protein (3.2 μM final concentration, Promega), I) with different concentrations of reaction cassette, j) with different enzyme concentrations and, k) over various incubation periods, are disclosed herein. Spermine and single stranded DNA binding protein were expected to minimize the interaction of α -chymotrypsin (overall charge +5 to +6) with the polynucleotide (overall charge -45). (Blow, D. W. in *The Enzymes*;

-32-

Boyer P. D. (Ed.), Academic Press: New York, 1971, pp. 185-212.) Spermine did not affect the sensitivity of the reaction, while single stranded DNA binding protein inhibited the reaction. This latter finding may be due to hindered access to the substrate site upon binding to the polynucleotide. The concentration of reaction cassette as well as the incubation time did not improve the sensitivity, it only increased the background reaction. DMSO and BSA which were expected to minimize non-specific interactions with the matrix, also did not affect the sensitivity. As expected, (D) amino acid based cassettes were not cleaved. The length of the second spacer did not affect the sensitivity of the reaction cassette which in all cases studied did not exceed the previously reported, 0.1-1 pmoles (5-50 nM) α -chymotrypsin. If charge interactions are important, then the positively charged α -chymotrypsin may be sequestered in close proximity to the negatively charged substrate-polynucleotide conjugate, thus increasing its local concentration. This then could compensate for hindrance effects. With this generation cassette, C10 is cleaved under conditions were C. is not (Figure, lanes 1-4). Apparently, the reaction cassette discriminates between two very similar substrates differing with only one amino acid ((L)Ala₂-(L)Tyr-(L)Ala₂ versus (L)Ala₂-(L)He-(L)Ala₂). The difference in reactivity of α -chymotrypsin towards these two substrates in solution is estimated to be ~ 10 (see above). This result is relevant to the construction of encoded reaction cassettes libraries.

The fact that the sensitivity of the reaction cassette system stops at 0.1-1 pmole of α -

-33-

chymotrypsin means that the uncatalyzed reaction is almost as fast as the reaction with 0.1-1 pmoles α -chymotrypsin. At first approximation, there is no apparent reason for the sensitivity to reach a minimum at this level. There are several possible answers for this question: a) K_m can be affected by the microenvironment created by the resin or the conformation of the substrate which may decrease the affinity of the enzyme for the substrate, b) the uncatalyzed reaction is abnormally fast within this cassette system, c) the substrate is not fully accessible to the enzyme (i.e. matrix is too hydrophobic or substrate hindered by DNA), d) PCR conditions are not optimal.

For the reaction to occur, the substrate has to be recognized by the catalyst; K_m will thus directly affect the sensitivity of the reaction cassette. Assuming that the K_m (10^{-5} M at the best)¹⁶ is 100 times higher for the substrate on the solid support ($K_{app} = 10^{-3}$ M), the fraction of complex formed under the most favorable concentration conditions used ($125 \cdot 10^{-3}$ M of reaction cassette, $55 \cdot 10^{-9}$ M α -chymotrypsin) would be 0.92 ($4.625 \cdot 10^{-9}$ M). Assuming that k_{cat} (100 s^{-1} at the best) is not affected by the microenvironment and that all complexes formed are productive, the velocity of the enzyme would be $4.625 \cdot 10^{-7} \text{ Ms}^{-1}$ ($4.625 \cdot 10^{-9} \text{ M} \cdot 100 \text{ s}^{-1}$). Under the same concentration conditions, the velocity of the background reaction (peptide solvolysis) may be approximated to $3.65 \cdot 10^{-11} \text{ Ms}^{-1}$ ($35 \cdot 10^{-9} \text{ s}^{-1} \cdot 125 \cdot 10^{-3} \text{ M}$). (Kahn, D.; Still, W. C. *J. Am. Chem. Soc.* 1988, 110, 7529.) The ratio of the velocities with and without enzyme is $> 10^4$. According to this rough estimate, these encoded reaction cassettes should be able to

-34-

detect at least 10^{-17} moles of α -chymotrypsin ($0.15 \cdot 10^{-12}$ moles $5 \cdot 10^{-4}$). Therefore, the sensitivity should not be limited by K_m . The second possibility may be ruled out as it would assume that the rate constant for an inactivated peptide bond solvolysis would be $3.85 \cdot 10^{-5} \text{ s}^{-1}$ ($4.625 \cdot 10^{-7} \text{ Ms}^{-1} / 125 \cdot 10^{-3} \text{ M}$) which cannot be accounted for by a mere microenvironment effect. The third possibility concerning the accessibility of the substrate to the enzyme was examined using C25 (Table 3) and C33 (Table 4). These cassettes are lacking the polynucleotide portion and were used to probe the hindrance that may result from this unit. These cassettes were also chosen in order to investigate the enzyme's accessibility to the substrate in the second generation reaction cassettes since C33 is based on NovaSyn KD resin which has been shown to be compatible with biocatalysts. When these cassettes were incubated with α -chymotrypsin, C33 is quantitatively cleaved at the substrate portion leading to the liberation of HO-(L)Tyr-(L)Ala-I-OH in solution ($\lambda_{\text{max}} = 276 \text{ nm}$, $\epsilon = 1450 \text{ M}^{-1}\text{cm}^{-1}$ in 0.1 M HCl), whereas C25 did not give rise to any UV detectable material in solution, indicating that, indeed, the enzyme does not have full access to the substrate on TentaGel resin, probably because of its hydrophobic character. The fact that we do see cleavage on agarose gel with TentaGel based cassettes is simply due to the powerful amplifying effect of the PCR. The fourth possibility was examined using decreasing concentrations of template A (Figure 9) used in this study. The sensitivity leveled out at 10^{-14} moles of template.

Third Generation Cassette.

The third generation cassette incorporates

several improvements, viz.:

- a) The PCR conditions and primer sequences are improved in order to increase sensitivity, by the design and use of template F,
- 5 b) The matrix material is selected to be compatible with the catalyst employed so as to further improve the sensitivity. A hydrophilic matrix such as NovaSyn KD is a preferred material.

10

The synthetic procedure using standard SPPS and phosphoramidite chemistries for the assembly of the third generation cassette is shown in Figure 5. Template F containing 2 primers and an encoding
15 sequence for Ala₂-Tyr-Ala₂ served as the tag for this generation.

20

Incubation of C26-C32 in the presence of α -chymotrypsin leads to the cleavage of the substrate portion and liberation of the polynucleotide which can be amplified and visualized on agarose Gel (Figure, for C31 for instance see lanes 5 and 6). C31 and C32 are cleaved with the same efficiency, indicating that our cassette system does not
25 discriminate between substrate differing at the P3, P4, P3', and P4' positions. This then sets a limit to the specificity of this generation cassette (Figure, lanes 7 and 8). This information is crucial for the design of combinatorial encoded reaction cassettes. Unexpectedly, again the lower limit of sensitivity
30 did not exceed 0.1-1 pmoles of α -chymotrypsin obtained with TentaGel although all parameters (incubation time, PCR sensitivity, choice of the resin, length of the spacers, DNA synthesis, etc.) were optimized for this study. Even more
35

-36-

unanticipated were our findings with C30 that: a) the detection limit is the same whether we used ~0.01 mg (1 bead) or ~10 mg of reaction cassette. Although difficult to explain at this stage, the fact that 1 bead leads to the same sensitivity as 10000 beads will be extremely helpful and economical in the screening of combinatorial libraries of substrates and catalysts; b) after the completion of the reaction, 1 μ l of the reaction media with the cassette and α -chymotrypsin can be diluted up to 10^9 fold and still give rise after the PCR to a band on agarose gel corresponding to the polynucleotide tag. In contrast 1 μ l from the uncatalyzed reaction media no longer give rise to any detectable material after a 10^3 fold dilution. This experiment clearly delineates that 0.1-1 pmoles of the enzyme produces $\sim 10^6$ times more polynucleotide in solution than the background reaction and yet, it is the lower concentration of α -chymotrypsin that can be detected over the background. Although mathematically it should be possible to detect enzyme amounts $\geq 4510^{-21}$ moles (0.1510^{-12} moles $5 \times 1/10^6 \times 1/25 \approx 2400$ molecules), experimentally, this amount cannot be detected unless α -chymotrypsin remains at a concentration ≥ 5 nM. (0.1510^{-12} moles corresponds to the lowest detectable amount of α -chymotrypsin; $1/10^6$ corresponds to the dilution factor of the reaction media that still can give rise to a signal over background on agarose gel; $1/25$ comes from the fact that only 1 μ l of the reaction media (25 μ l) was used for amplification by the PCR.) Possibly the enzyme interacts strongly with the polynucleotide and cannot reach the substrate until all sites are saturated.

35

-37-

Bond formation detection:

The east (S9) and west (C34) components of the reaction cassette were prepared as shown in Figure 6 and 7 respectively. In semi-organic media, α -chymotrypsin catalyzes amide bond formation between Tyrosine methyl esters and a free amine. The reaction proceeds through the formation of an acyl-enzyme which in the semi-organic medium is attacked by the amine. (Wong, C.-H.; Whitesides, G. M. *Enzymes in Synthetic Organic Chemistry*; Baldwin, J. E., FRS; Magnus, P. D., FRS; (Eds.); Tetrahedron Organic Chemistry Series; Volume 12; Pergamon: Oxford; 1994.) Figure 14 shows an agarose gel of the PCR products of 1 bead taken from the reaction of C34 (or C35) with S9 in the presence of α -chymotrypsin. When NovaSyn KD (C34) is used as the matrix, the background reaction overshadows the catalyzed reaction (Figure 14, lanes 7-9). A control experiment with the resin alone (without the substrate portion) gives the same results which indicates that the background reaction is actually due to the adsorption of the polynucleotide onto the matrix, which cannot be eliminated completely even after extensive washing, but can be released in the PCR reaction media at high temperatures (lanes 1-3). With TentaGel based resins (C35), the background reaction could be eliminated after extensive washings (lanes 4-6). The reaction in the presence of the catalyst gave rise to a weaker band when compared to the background reaction (uncatalyzed amide bond formation), lanes 10-12. This trend is at variance with our expectations but can be explained as follows: a) α -chymotrypsin catalyzes the hydrolysis of the Tyrosine methyl ester of C34 (or C35), thus lowering the substrate concentration available to react with the polynucleotide-peptide

-38-

conjugate. The uncatalyzed reaction gave a stronger signal (lane 10) because it is not hampered by this fact; b) α -chymotrypsin forms a stable acyl-enzyme intermediate with the most accessible sites, blocking the passage to the uncatalyzed reaction between the polynucleotide-peptide conjugate and the resin bound substrate.

Whatever the reason, it is disclosed herein that one can detect the slow-uncatalyzed peptide bond formation with encoded reaction cassette disclosed herein. At the same time, the encoded reaction cassette is disclosed to be able to detect the formation of chemical bonds. These results also show that with the present design, the size of the catalyst seems to handicap the methodology. For this reason, one can employ a chemically catalyzed bond formation using NaCNBH_3 , as disclosed as follows.

Detection of imine bond formation:

The synthetic scheme is shown in Figure 8. We have used TentaGel for reasons discussed (vide supra). The matrix is first activated with glutaraldehyde and then coupled with 810 followed by NaCNBH_3 reduction. After extensive washing, one bead is submitted to the PCR. Figure 14 shows an agarose gel that demonstrates the formation of the imine bond. The resin that was pre-treated with glutaraldehyde give rise to the 45-mer band (lane 13), whereas, as expected, the resin that was not submitted to this pre-treatment, did not give rise to the 45-mer (lane 14). Apart from its utility for the demonstration of the second part of the principle of the reaction cassette, this synthetic methodology may be used for the construction of an encoded library of

-39-

reaction cassettes from a library of peptide-
polynucleotide conjugates library that was obtained
beforehand via an orthogonal synthetic scheme. The
library thus obtained could be used in the bond
cleavage detection mode.

5

-40-

MethodsAbbreviations:

DMTr (dimethoxytrityl), MMTr (monomethoxytrityl),
Fmoc (fluorenylmethoxycarbonyl), Boc (tert-
butyloxycarbonyl), DCM (dichloromethane), DMF
(dimethylformamide), DMA (dimethylacetamide), ASWY
(average stepwise yield), OAY (overall yield), DIEA
(diisopropylethylamine), TBAF (tetrabutylammonium
fluoride), THF (tetrahydrofuran), MeOH (methanol),
dH₂O (distilled water), AcONa (sodium acetate), SPSP
(solid phase peptide synthesis), t-Bu (tert-butyl),
concd (concentrated), CPG (Controlled Pore Glass).

General

NMR spectra were recorded on a Bruker 300 MHz
for ¹H NMR and 500 MHz for ¹³C NMR, with the solvent
as internal reference. All ¹H and ¹³C NMR's in D₂O were
performed with (2-methyl)2-propanol as internal
reference (CH₃, 1.36 ppm ; HOCH(CH₃)₂, 68.7 and 31.6
ppm). Melting points were measured on a Fisher-Johns
Melting Point Apparatus. Chromatographic support was
Silica flash Merck 60 (0.040-0.063 mm). The mass
spectra were performed at the Mass Spectrometry
Facility of The Scripps Research Institute.

Synthesis of substrates as illustrated in figure 4:

Fmoc-Ala₂ and Fmoc-Tyr(OSit-BuMe₂) were
synthesized according to a procedure described in:
Atherton, A.; Sheppard, R. C. *Solid Phase Peptide
Synthesis: A Practical Approach*; Oxford University
Press: Oxford, 1989, pp. 47-53. See Supplementaary
Material for Fmoc-Ala₂ and Fisher, P. M. *Tetrahedron*

-41-

1 Lett. 1992, 33, 7605 for Fmoc-Tyr(OSit-BuMe₂). The
other amino-acids, HBTU (O-benzotriazol-1-yl-
N,N,N',N'-tetramethyl-uronium hexafluorophosphate) and
HOBt (1-hydroxybenzotriazole hydrate) are
commercially available from Novabiochem; glutaric
6 anhydride, DIEA (diisopropylethylamine), anhydrous
DMA (dimethylamine) and DMF (dimethylformamide) from
Aldrich; solvents (DCM (methylene chloride), MeOH
(methanol), THF (tetrahydrofuran)) from Baxter were
all HPLC (high performance liquid chromatography)
11 grade with low water contents (< 0.001%). Before use,
the resins are preconditioned with 3% Cl₂CCO₂H/DCM
treatment for 10 min followed by extensive DCM, DMF,
10% DIEA/DMF, DMF and DCM washes.

16 Synthesis of substrates (S1-S7; SEQUENCE ID NO.'s 1-
5) as illustrated in figure 4:

The peptide substrates (S1-S7; SEQUENCE ID NO.'s
1-5) were assembled manually on either a 500 Å CPG
(controlled pore glass) (~50 μmoles/g, Sigma or CPG
21 Inc.), TentaGel-S-NH₂ support (~260 μmoles/g,
Novabiochem or Rapp Polymere), or NovaSyn KD (~100
μmoles/g, Novabiochem) according to standard Fmoc
and/or Boc methodologies (Stewart et al. *Solid Phase
Peptide Synthesis*; Pierce Chemical Company: Rockford,
26 Illinois, 1984; Atherton et al. *Solid Phase Peptide
Synthesis: A Practical Approach*, Oxford University
Press: Oxford, 1989). To have a final loading of 40-
60 μmoles/g, the first step of the synthesis is
performed with an excess of solid support to amino
31 acid monomer; after all the final washings, the
unreacted amino groups are capped (0.25 volume of
acetic anhydride 4.23 M in 2,6-lutidine; 0.75 volume

-42-

1 of N,N-dimethylaminopyridine, 0.53 M in THF, 3 min).
The same procedure of partial functionalization and
capping applies for other loadings. The ASWY for
peptide synthesis was 95-98%. After each coupling
step the resin is washed with DMF, DCM and MeOH. The
6 completion of the reaction is controlled with the
Kaiser test (Kaiser et al. *Anal. Biochem.* 1970, 34,
595). The loading is determined when possible using
either the DMTr (Dimethoxytrityl) cation assay (Gait
et al. *Oligonucleotide Synthesis : A Practical*
11 *Approach*; Oxford University Press: Oxford, 1990, p.
48 or the fulvene-piperidine adduct assay (Fmoc
assay; $\epsilon_{302\text{ nm}} = 7800\text{ M}^{-1}\text{ cm}^{-1}$ in piperidine/DMF 20%;
Atherton et al. *Solid Phase Peptide Synthesis: A*
Practical Approach, Oxford University Press: Oxford,
16 1989).

The t-BuMe₂Si protecting group of the hydroxyl
moiety on Tyrosine, as illustrated in figure 5, was
removed at the end of the cassette assembly by
treatment with 1 M TBAF in THF followed by extensive
21 washing with THF, MeOH, dH₂O, tris-HCl buffer (20 mM,
pH 8, NaCl 160 mM) and dH₂O. After this step the
reaction cassette is ready for use in the bond
cleavage detection mode.

The substrate S8 (SEQUENCE ID No. 6, figure 4)
26 was prepared in 5 steps using standard solution phase
peptide chemistry (vida infra). Since this compound
tends to form a gel in fairly dilute solutions, its
coupling either to TentaGel or NovaSyn KD was
performed as follows: NovaSyn KD (0.5 g, 50 μ moles),
31 HBTU (50 mM) (O-benzotriazol-1-yl-N,N,N',N'-
tetramethyl-uronium hexafluorophosphate) and HOBt (50
mM) (1-hydroxybenzotriazole hydrate), DIEA (200 mM),

-43-

1 S8 (SEQUENCE ID No. 6) (50 mM) in DMA (3 ml). The
mixtures are shaken at 20°C for 8-16 h. These
conditions correspond to standard conditions diluted
2-4 times. The resin is then washed with DMA
(dimethylamine), MeOH (methanol) and DCM (methylene
6 chloride). Tyr(O-t-Bu) is deprotected with TFA/DCM
(trifluoroacetic acid/methylene chloride) (1/4) for
10 min; the resin is washed with DCM, DMF, MeOH and
DCM, and dried under high vacuum. The resin is now
ready for use in bond formation detection in
11 combination with the other part of the substrate as
illustrated in Figure 7. For TentaGel the coupling
was performed as follows: TentaGel (0.25 g, 65
μmoles), HBTU (65 mM), HOBT (65 mM), S8 (SEQUENCE ID
No. 6) (65 mM), DIEA (260 mM) in DMA (3 ml). Shake at
16 20°C for 16 h. For the preparation of S9 (SEQUENCE ID
No. 6) and S10 (figure 6) see DNA synthesis *vide*
infra.

DNA Synthesis:

21 All monomers, reagents and solvents for DNA
synthesis were purchased from Applied Biosystem (ABI)
or Glen Research unless otherwise indicated. Expedite
β-cyanoethyl phosphoramidites (Millipore) are
protected with t-butylphenoxyacetyl on the amino
26 groups of Adenine, Guanine, and Cytidine; Thymidine
is not protected; the phosphate backbone generated
with these monomers is protected as β-cyanoethyl
ester. The phosphoramidite monomers used with a few
of the second generation cassettes require incubation
31 in concentrated ammonia in a sealed tube at 55°C for
16-20 h. In order to minimize this exposure we used
Expedite phosphoramidites with most of the reaction

-44-

1 cassettes which allowed for rapid deprotection (1
hour at 55°C in concd ammonia).

DNA synthesis was carried out on a 394 Applied Biosystem DNA Synthesizer using standard phosphoramidite chemistry (Gait et al.

6 *Oligonucleotide Synthesis : A practical Approach:*
Oxford University Press: New York, 1990). The
standard 1 μ mole cycle (termed Procedure A, 97 steps)
was modified as described earlier for the synthesis
on TentaGel (termed Procedure B, 97 steps as
11 described in (Fenniri et al. *Proc. Natl. Acad. Sci.*
USA 1995, 92, 2278). It was further modified in
order to adapt it to both TentaGel and NovaSyn KD
resins (termed Procedure C, 99 steps) as follows, in
this order: a) DCM (methylene chloride) bottle
16 (bottle 19) was replaced with anhydrous DMF; b) Cap A
(bottle 11) was replaced by Expedite Cap A
(Millipore); c) bottle 15 (iodine 0.1 M) was replaced
by a less concentrated one (0.02 M); d) in the
monitoring mode, step 77, 18 to column for 10 s, was
21 replaced by 19 to column for 35 s; e) in the non-
monitoring mode, one washing step was added, 19 to
column for 35 s (step 80); f) in the non-monitoring
mode, another washing step was added, 19 to column
for 35 s (step 94); g) In the non-monitoring mode,
26 steps 84, 87, and 90 were prolonged from 5 s to 10 s;
h) all washing steps 3, 59, 61, 66, and 96 were
prolonged from 10 s to 30 s; i) the incubation time
with phosphoramidite and tetrazole (step 45) was
prolonged from 25 s to 120 s; for the introduction of
31 spacer VIII this step was extended to 900 s; j) the
concentration of all monomers used on the DNA

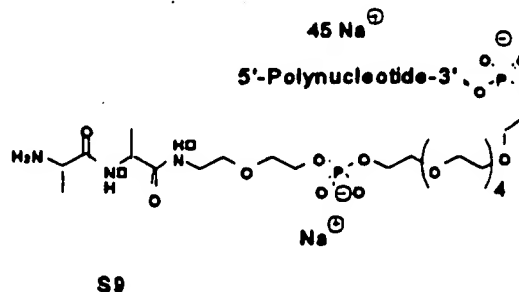
-45-

1 synthesiser was 0.1 M in anhydrous acetonitrile; k)
 synthesis was monitored every 3 to 5 couplings.

The polynucleotide is deprotected upon treatment
 with concd NH_3 for 1 hour at 55°C . The DMTr group is
 removed upon treatment with 3% $\text{Cl}_3\text{CCO}_2\text{H}$ in DCM (5
 6 min), followed by extensive washing with DCM, THF,
 MeOH, tris-HCl buffer (20 mM, pH 8, NaCl 160 mM), and
 dH_2O . Tyrosine containing cassettes are treated for 15
 min with 1 M TBAF in THF to remove the phenolic
 hydroxyl protecting group $\text{SiMe}_2\text{t-Bu}$ and washed as
 11 above. After this step, the cassette is ready to use
 in the bond cleavage detection mode.

Substrate S9 used for the detection of enzymatic
 catalyzed bond formation (figure 6):

16



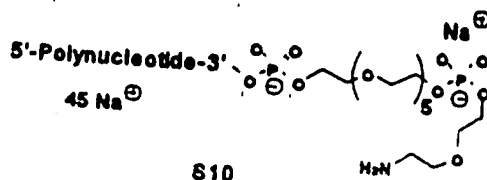
For enzyme catalyzed bond formation detection,
 S9 was built on CPG 1000 Å (1 μmole scale) provided
 with a long chain alkylamino group bearing the first
 21 methyl phosphoramidite as follows: Standard 1 μmole
 cycle (procedure A) of the 394 Applied Biosystem DNA
 synthesizer was used with methyl phosphoramidites and
 standard ABI reagents. After DNA synthesis, spacer

-46-

1 VIII was introduced followed by spacer IX or X
(Millipore). The MMTr amino-protecting group was
removed upon treatment with 80% AcOH for 1 hour at
20°C followed by washing with dH₂O, tris-HCl buffer
(20 mM, pH 8, NaCl 160 mM), dH₂O, MeOH, DCM, and dried
6 under high vacuum. The functionalized polynucleotide
thus obtained is further derivatised with Fmoc-Ala₂,
while still on the solid support following standard
SPPS under the following concentration conditions:
for 4 μ moles of resin bound polynucleotide, HBTU (25
11 mM), DIEA (100 mM), Fmoc-Ala₂ (25 mM), DMA (0.5 ml),
shake at 20°C for 2 h. The resin is washed with DMF,
MeOH, DCM and dried under high vacuum. After this
step the phosphate backbone is deprotected using a
mixture of thiophenol/Et₃N/dioxane (1/1/2) for 45 min
16 and washed with dioxane and MeOH. The peptide-
polynucleotide conjugate is detached from the resin
and deprotected on the terminal Fmoc-amino acid group
(1 hour in concentrated ammonia at 55°C) and filtered
through 0.8 μ m disposable syringe filter (Corning).
21 After this step, the polynucleotide-peptide conjugate
is deprotected on the nucleobases upon treatment for
18 hours at 45-50°C. The solution is lyophilized and
ethanol precipitated 3 times from 3 M ACONa pH 5.2
and used without further purification for enzyme
26 catalyzed peptide coupling.

-47-

1 Substrate S10 used for the detection of enzymatic catalyzed bond formation (figure 6):



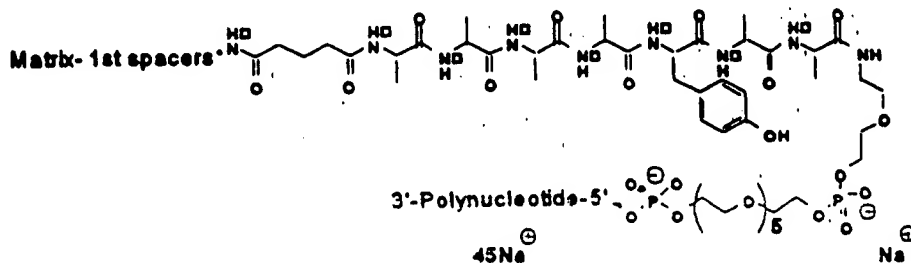
6 For detection of chemically catalyzed bond formation, S10 (the polynucleotide-VIII-IX (or polynucleotide-VIII-X) was assembled using 500 Å CPG, 1 μmole scale with Expedite β-cyanoethyl phosphoramidite monomers. The terminal amino group is freed upon treatment with 80% AcOH for 1 hour at 20°C followed by extensive washing with dH₂O, MeOH and dH₂O. The polynucleotide is deprotected upon treatment with concentrated ammonia for 1 hour at 55°C. The supernatant is recovered and lyophilised. The solid is ethanol precipitated 3 times from AcONa 3 M pH 5.2 and used without further purification for the coupling reaction.

11 Enzymatically catalyzed bond cleavage (figure 5):

21 The cassette (0.01-10 mg, 5.9-30.1 μmoles/g) was suspended in 25 μl tris-HCl buffer (2 mM, pH 8, NaCl 16 mM) containing 1, 10⁻¹, 10⁻², 10⁻³, 10⁻⁴, 10⁻⁵, 10⁻⁶ or 0 units of α-chymotrypsin and the mixture was shaken at 20°C. Supernatant fluids (1-2 μl) were taken after 30 min, 1, 2, 3, 4, 12, 24 and 48 h, and were submitted to the PCR.

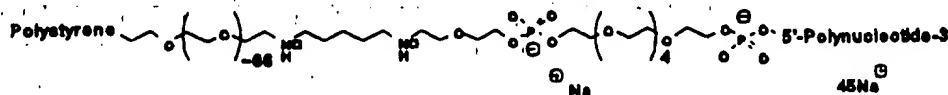
26

1 Enzymatically catalyzed bond formation (figure 7):



A few hundred beads (~5 mg) of C34 or C35 were placed in several wells of a 96-well filtration plate assembly (0.65 mm, Hydrophilic Durapore, Low Protein Affinity, Millipore) and were incubated with S9 (0.32 mM) in tris-HCl 100 mM pH.8/DMSO (40/60, final pH 8.75) in the presence of 1, 0.1, or 0 units of α -chymotrypsin (final volume 200 μ l); the plate is shaken for 1 hour and is adapted to a MultiScreen Filtration System (Millipore) which allows the reaction to be stopped by applying vacuum to the device followed by extensive washings (DMSO/dH₂O 60%, dH₂O, AcOH 80% (10 min), dH₂O, NH₃ concd (10 min), dH₂O, TFA 2% (10 min), NH₃ concd, dH₂O 80°C, MeOH). One bead is taken from each well for PCR amplification.

- 1 Chemically catalyzed bond formation (figure 8) -
coupling of the west side (C36 to the east side
(S10)):



- 6 A few hundred beads of TentaGel (~5 mg) were
 placed in several wells of a 96-well filtration plate
 assembly (0.65 mm, Hydrophilic Durapore, Low Protein
 Affinity, Millipore) and were incubated with 20% v/v
 glutaraldehyde/0.1 M phosphate buffer, pH 8.5. The
 11 plate was shaken at room temperature for 3 hours and
 then the beads extensively washed with dH₂O and 0.1 M
 phosphate buffer, pH 8.5. The amino-functionalized
 polynucleotide S10 (0.15 mM) was added in 0.05 M
 borate buffer pH 10 containing 60% DMSO. The plate is
 16 shaken for 20 hours at 37°C, it was then adapted to
 the MultiScreen Filtration System which allows the
 reaction to be stopped by applying vacuum to the
 device, followed by extensive washing with 0.1 M
 borate buffer pH 10, then 0.1 M phosphate buffer pH
 21 8.5. 200 μ l 0.2 M NaCNBH₃ in 0.1 M phosphate buffer,
 pH 8.5 was added to each well, and the plate was
 shaken for 3 hours at 20°C and stored at 4°C overnight
 without shaking. The liquid phase was filtered off
 the wells using the MultiScreen Filtration System,
 26 and the resin was washed extensively with dH₂O, 80%
 AcOH (2 5 10 min), NH₃ concd (2 5 10 min), TFA 2% (2 5
 10 min), NH₃ concd (2 5 10 min), dH₂O 80°C, and MeOH.
 One bead from each well was taken for PCR
 amplification.

-50-

1 PCR Experiments:

 All the templates; SEQUENCE ID No.'s 8-14 (A-G,
Figure 9) and corresponding primers were custom made
via Operon Corporation. For the reaction cassettes
with template A (SEQUENCE ID No.1), aliquots (1 μ l)
6 from the reaction mixture were mixed with the PCR
components: $MgCl_2$ 2.5 mM (Promega), 1.2 μ l; Taq buffer
(Promega), 2 μ l; deoxynucleotide triphosphates 2.5 mM
(Pharmacia), 1.6 μ l; primer I 100 pmoles/ μ l, 1 μ l;
Primer II 100 pmoles/ μ l, 1 μ l; dH_2O , 17.7 μ l; Taq
11 polymerase (Promega), 0.5 μ l (2.5 U), was added just
before starting the first PCR cycle. A positive
control (PCR components only) was run with dH_2O
containing 1 pmole of the polynucleotide sequence
used in this study. A negative control was run under
16 the same conditions without the polynucleotide
sequence. The PCR was run on a Perkin-Elmer-Cetus
9600 instrument with the following cycle program:
denaturation 94°C, 30 s; annealing 55°C, 30 s;
extension 72°C, 30 s. After 35 cycles the results
21 were analysed on agarose gels [1% Gibco-BRL agarose,
2% FMC NuSieve GTG agarose with 90 mM Tris/64.6 mM
borate/2.5 mM EDTA, pH 8.3 (15 TBE) at 103 mV]. For
the reaction cassettes with template E (SEQUENCE ID
No.13) (Table 4) we used HotWax low concentration Mg^{++}
26 beads (1.5 mM final concentration in a 50 μ l final
volume, Invitrogen); Taq buffer (Promega), 5 μ l; dNTP
2.5 mM (Pharmacia), 4 μ l; Primer I 100 pmoles/ μ l, 2.5
 μ l; Primer II 100 pmoles/ μ l, 2.5 μ l; Taq polymerase
(Promega) 1 μ l (5 U); reaction supernatant fluid, 1
31 μ l; dH_2O , 34 μ l. The cycle program was preceded by a
pre-heating step at 94°C for 30 s and ended by an
extension at 72°C for 10 min. 35 Cycles were

-51-

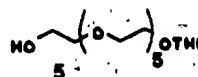
1 programmed as follows: denaturation 94°C, 30 s;
annealing 49°C, 1 min; extension 72°C, 30 s. Negative
and positive controls as well as analysis on agarose
gel were performed as above. For bond formation
detection, template F ((SEQUENCE ID No.14) was used
6 as a Tag, and PCR conditions were identical to those
described above with this template but with 25 cycles
only.

11 Preparation of spacer molecules (represented in prior
art and/or commercially available compounds):

The compounds I (Shaller et al. *J. Am. Chem.
Soc.* 1963, 85, 3821), II (Nielsen et al. *Methods*
1994, 6, 361), Fmoc-Tyr(OSit-BuMe₂) (Fisher, P. M.
Tetrahedron Lett. 1992, 33, 7605), 2; 21 (Morin et
16 al. *Tetrahedron* 1992, 48, 9277), and 3; 22 (Prakash
et al. *J. Chem. Soc. Perkin Trans. 1* 1991, 1273) are
prepared according to previously reported
procedures. The compounds 19, 20, 23, 24, 25 and all
the reagents and solvents are commercially available
21 from Aldrich. Several attempts to derivatize 1, 5 and
9 with 19, 20, 21 or 22 under various conditions
failed completely or gave only a poor yield of the
desired product. In this section we describe the
reaction that led to spacers III-VII in reasonably
26 high yield (figure 3). The spacers IX (Glen
Research) and X (Millipore Research) were
commercially available.

-52-

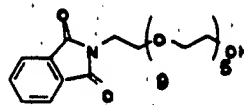
1 Synthesis of 1-(tetrahydro-2H-pyran-2yloxy)-17-
hydroxy-3,6,9,12,15-pentaoxaheptadecane (5) figure 3:



6 Compound 5: Compound 1 (25.0 g, 89 mMol; Sigma
 Chemical company), 3,4-dihydro-2H-pyran (7.5 g, 89
 mMol; Aldrich), in methylene chloride (500 ml) were
 cooled to 0°C and stirred with few drops of
 concentrated HCl for 1 h. The temperature was raised
 to 20°C and the stirring was maintained overnight.
 11 Na₂CO₃ (3 g) was added and the suspension was stirred
 for 1 hour then filtered, followed by evaporation of
 the solvent to dryness and flash chromatography (SiO₂,
 ethylacetate/methylene chloride 0-100%) to afford
 16 compound 5 (C₁₇H₃₄O₆, 9.8 g; 30%) which was obtained as
 a viscous colorless oil. R_f = 0.1 (SiO₂, ethyl
 acetate). ¹H NMR (CDCl₃) δ: 4.56 (t, ³J(H,H) = 2.9 Hz,
 1H, OCHO); 3.9-3.3 (m, 28H, CH₂OCH₂ and CH₂OH); 1.65-
 1.42 (m, 6H, CH₂CH₂CH₂ of THP). ¹³C NMR (CDCl₃) δ: 98.1
 (OCHO); 72.1, 70.1, 70.0, 69.9, 69.8, 66.1, 61.5,
 21 61.0, (CH₂OCH₂ and CH₂OH); 29.7, 24.5, 18.4
 (CH₂CH₂CH₂CH₂O). FAB+MS (NBA/NaI): 367 (M+H⁺)/z; 389
 (M+Na⁺)/z.

-53-

1 Synthesis of 1-hydroxy-17-phtalimido-3,6,9,12,15-
pentaoxaheptadecane (9) figure 3:

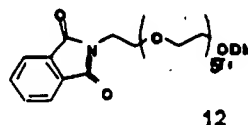


6 **Compound 9:** The compound 1 (25.0 g, 89 mMol; Sigma
chemical company), phthalamide (12.9 g, 89 mMol), PPh₃
(23.0 g, 89 mMol) and anhydrous THF (450 ml,
distilled over Na) were stirred at 20°C under inert
atmosphere. DEAD (15.3 g, 89 mMol;
diethylazodicarboxylate is commercially available
11 from Aldrich) in anhydrous THF (100 ml) was added
dropwise over 2 hours at 20°C and the reaction
mixture was stirred overnight. The solvent was
evaporated to dryness, the residue was taken in
boiling dH₂O. After cooling down, the precipitate was
16 filtered and the aqueous solution was evaporated to
dryness under high vacuum. The viscous oil thus
obtained was chromatographed (SiO₂ flash, EA/hexane
50-100%) yielding 9 as a colorless viscous oil
(C₂₀H₂₉NO₆, 22.0 g, 60%). R_f = 0.13 (SiO₂, EA). ¹H NMR
21 (CDCl₃) δ: 7.82 (m, 2H, Ar); 7.69 (m, 2H, Ar); 3.88
(t, ³J(H,H) = 6.1 Hz, 2H, NCH₂); 3.72 (t, ³J(H,H) =
5.4 Hz, 2H, NCH₂CH₂O); 3.69-3.54 (m, 22H, CH₂OCH₂ and
CH₂OH). ¹³C NMR (CDCl₃) δ: 167.9 (CO); 133.7, 131.7,
122.9 (Ar); 72.2, 70.3, 70.2, 70.1, 70.0, 69.95, 69.7
26 (OCH₂CH₂O); 67.5 (OCH₂CH₂N); 61.2 (OCH₂CH₂OH); 36.9
(CH₂N).

FAB+MS (NBA/NaI): Expected exact mass for (M+H⁺)/z
412.1971, observed 412.1983; 434 (M+Na⁺)/z.

-54-

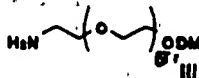
- 1 Synthesis of 1-phthalimido-17-[(4,4'-bismethoxy-
trityl)-oxy]-3,6,9,12,15-pentaoxaheptadecane (12)
figure 3:



- 6 **Compound 12:** Compound 9 (1.8 g, 4.4 mMol), DMTrCl
 (4,4 dimethoxytrityl chloride is commercially
 available from Aldrich) (2.2 g, 6.6 mMol) were
 stirred in pyridine (20 ml) for 40 hours at 20 °C.
 Et₃N (12 mMol, triethyl amine) was added and the
 11 solvent was evaporated to dryness. 12 (C₄₁H₄₇NO₁₀, 2.8
 g, 88%) was obtained after flash chromatography (SiO₂,
 EA/DCM 0-50%) as a yellow viscous oil. R_f = 0.15
 (SiO₂, EA/hexane 50%). ¹H NMR (CDCl₃) δ: 7.83 (m, 2H,
 phtalimide); 7.68 (m, 2H, phtalimide); 7.47 (d,
 16 ³J(H,H) = 7.1 Hz, 2H, Ph DMTr); 7.34 (d, ³J(H,H) = 8.9
 Hz, 4H, p-MeOPh DMTr); 7.26 (t, ³J(H,H) = 7.6 Hz, 2H,
 Ph DMTr); 7.18 (t, ³J(H,H) = 7.3 Hz, 1H, Ph DMTr);
 6.81 (d, ³J(H,H) = 8.9 Hz, 4H, p-MeOPh DMTr); 3.88
 (t, ³J(H,H) = 5.9 Hz, 2H, CH₂N); 3.77 (s, 6H, CH₃O);
 21 3.75-3.5 (m, 20H, CH₂OCH₂); 3.21 (t, ³J(H,H) = 5.4 Hz,
 2H, CH₂ODMTr). ¹³C NMR (CDCl₃) δ: 168.2 (CO); 158.3,
 145.0, 136.3, 130.0, 128.1, 127.7, 126.6, 123.2,
 113.0 (DMTr Ar); 133.9, 132.2, 123.2 (phtalimide Ar);
 85.8 ((p-MeOPh)₂PhCO); 70.7, 70.6, 70.55, 70.5, 70.45,
 26 70.0, 67.8 (CH₂OCH₂); 63.1 (CH₂ODMTr); 55.1 (CH₃O);
 37.2 (CH₂N). FAB+MS (NBA/NaI): Expected exact mass
 for (M+Na⁺)/z 736.3098, observed 736.3123.

-55-

1 Synthesis of 1-amino-17-[(4,4'-bismethoxytrityl)oxyl]-
3,6,9,12,15-pentaoxaheptadecane (III) figure 3:



- 6 **Compound III:** Compound 12 (1.7 g, 2.3 mMol), (NH₂)₂ (hydrazine) (23.4 mMol, 0.73 ml) and EtOH (100 ml) were refluxed for 3 h. After cooling down, the precipitate was filtered and the solvent evaporated to dryness. The residual oil was taken in
- 11 diethylether and the precipitate was filtered off. The organic phase was evaporated to dryness yielding III (C₃₃H₄₅NO₉, 1.2 g, 89%) as a viscous pale yellow oil which was used without further purification. ¹H NMR (CDCl₃) δ: 7.45 (d, ³J(H,H) = 7.3 Hz, 2H, Ph DMTr); 7.33 (d, ³J(H,H) = 8.8 Hz, 4H, *p*-MeOPh DMTr);
- 16 7.27 (t, ³J(H,H) = 7.0 Hz, 2H, Ph DMTr); 7.18 (t, ³J(H,H) = 7.0 Hz, 1H, Ph DMTr); 6.81 (d, ³J(H,H) = 8.8 Hz, 4H, *p*-MeOPh DMTr); 3.77 (s, 6H, CH₃O); 3.73-3.59 (m, 18H, OCH₂CH₂O); 3.48 (t, ³J(H,H) = 5.1 Hz, 2H, CH₂CH₂NH₂); 3.21 (t, ³J(H,H) = 5.2 Hz, 2H, CH₂ODMTr);
- 21 2.84 (t, ³J(H,H) = 5.1 Hz, 2H, CH₂CH₂NH₂). ¹³C NMR (CDCl₃) δ: 158.3, 145.0, 136.3, 130.0, 128.1, 127.7, 126.6, 113.0 (Ar); 85.8 ((*p*-MeOPh)₂PhCO); 73.4, 70.7, 70.6, 70.5, 70.2 (CH₂OCH₂); 63.1 (CH₂ODMTr); 55.2 (CH₃O); 41.7 (CH₂NH₂).
- 26 **FAB+MS (NBA/NaI):** 584 (M+H⁺)/z; expected exact mass for (M+Na⁺)/z 606.3043, observed 606.3072.

-56-

- 1 Synthesis of 1-hydroxy-17-[4,4'-
 bismethoxytrityl]oxy-3,6,9,12,15-
 pentaheptaheptadecane(13) (formed in step 1, figure 3:
 compound is an intermediate and not shown):
- 6 **Compound 13:** Compound 1 (5 g, 17.7 mMol) and DMTrCl
 dimethoxytrityl chloride (6.3 g, 17.7 mMol) were
 stirred in pyridine (17 ml) under inert atmosphere at
 20°C for 48 h. Et₃N (4 ml) was added and the solvent
 was evaporated to dryness. The oily residue thus
11 obtained was taken in ether (100 ml) and extracted
 with dH₂O (100 ml). The organic layer was dried over
 Na₂SO₄, filtered, evaporated to dryness, and dried
 under high vacuum. 13 (C₃₃H₄₄O₉, 3.3 g, 32%) was
 obtained as a yellow oil after flash chromatography
 (SiO₂, EA/hexane 50-100%). R_f = 0.12 (SiO₂, EA). ¹H
16 NMR (CDCl₃, filtered on basic Al₂O₃) δ: 7.46 (d, ³J(H,H)
 = 7.2 Hz, 2H, Ph DMTr); 7.34 (d, ³J(H,H) = 8.8 Hz, 4H,
 p-MeOPh DMTr); 7.27 (t, ³J(H,H) = 7.8 Hz, 2H, Ph
 DMTr); 7.19 (t, ³J(H,H) = 7.2 Hz, 1H, Ph DMTr); 6.82
21 (d, ³J(H,H) = 8.9 Hz, 4H, p-MeOPh DMTr); 3.78 (s, 6H,
 CH₃O); 3.7-3.57 (m, 22H, CH₂OCH₂ and CH₂OH); 3.22 (t,
 ³J(H,H) = 5.3 Hz, 2H, CH₂ODMTr). ¹³C NMR (CDCl₃,
 filtered on basic Al₂O₃) δ: 158.3, 145.1, 136.3,
 130.1, 128.2, 127.7, 126.6, 113.0 (Ar); 85.9 ((p-
 MeOPh)₂PhCO); 72.5, 70.7, 70.68, 70.65, 70.62, 70.58,
26 70.52, 70.48, 70.45, 70.42, 70.3 (CH₂OCH₂); 63.1
 (CH₂ODMTr); 61.7 (CH₂OH); 55.2 (CH₃O).
 FAB+MS (NBA/CsI): expected exact mass for (M+Cs⁺)/z
 717.2040, observed 717.2022.

-57-

- 1 Synthesis of 1,17-bis[(p-toluenesulfonyl)oxy]-
3,6,9,12,15-pentaoxaheptadecane (14) (step 'k' figure
3: compound is an intermediate and not shown):
- Compound 14: Compound 1 (25.0 g, 89 mMol), p-
toluenesulfonyl chloride (50.9 g, 267 mMol) were
6 stirred in dry pyridine (75 ml) under inert
atmosphere at 0°C for 4 h. The reaction media was
then poured on ice (800 ml) and stirred vigorously.
DCM (300 ml) was added and the aqueous layer was
carefully acidified to pH ~1 with 3 M HCl. The
11 aqueous layer was further extracted with DCM (2 5 300
ml) and the organic layers were combined and
extracted with saturated NH₄Cl (2 5 300 ml), dH₂O (2 5
300 ml), dried over MgSO₄, filtered, evaporated to
dryness, and the residual oil was dried under high
16 vacuum. After flash chromatography (SiO₂, MeOH/DCM 0-
1%) 14 was obtained as a viscous colorless oil
(C₂₆H₃₈S₂O₉, 40 g, 76%). R_f = 0.49 (SiO₂, EA).
¹H NMR (CDCl₃) δ: 7.75 (d, ³J(H,H) = 8.3 Hz, 4H, Ar);
7.31 (d, ³J(H,H) = 8.1 Hz, 4H, Ar); 4.10 (t, ³J(H,H) =
21 4.7 Hz, 4H, CH₂OTs); 3.65-3.53 (m, 20H, CH₂OCH₂); 2.40
(s, 6H, CH₃ Ts). ¹³C NMR (CDCl₃) δ: 144.7, 132.7,
129.7, 127.8 (Ar); 77.0, 76.8, 71.2, 70.5, 70.4,
70.3, 69.2, 68.5 (CH₂O); 21.5 (CH₃ Ts). FAB+MS
(NBA/NaI): expected exact mass for (M+Na⁺)/z 613.1753,
26 observed 613.1740.

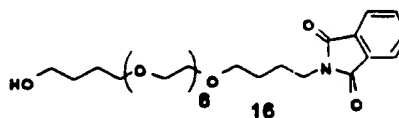
- Synthesis of 1,27-bis-(hydroxy)-5,8,11,14,17,20,23-
heptaoxaheptadodecane (15) (step 'l' figure 3:
compound is an intermediate and not shown):
- 31 Compound 15: Compound 23 (146.5 g, 1.63 Mol; Aldrich
company) and Na (5.1 g, 0.22 Mol) were stirred at
20°C under inert atmosphere then heated to 65°C until

-58-

1 the complete dissolution of Na. The mixture was
 further stirred for 2 hours at 45°C then transferred
 through a canular to a solution of 14 (60.0 g, 0.102
 Mol) in THF (240 ml). Because of the high viscosity
 of the 23/Na solution it was kept and transferred at
 6 65°C, while the 14/THF solution was cooled to 0°C.
 After the transfer was completed (1 h), the
 temperature was kept at 0°C for 3 hours then
 overnight at 20°C. The reaction was quenched with a
 saturated solution of NH₄Cl (250 ml), THF was
 11 evaporated and the remaining aqueous layer was
 extracted with DCM (3 x 250 ml), dried over MgSO₄,
 filtered, and evaporated to dryness. The pure
 compound 15 (C₂₀H₄₂O₉, 25.2 g, 58%) was obtained as a
 viscous colorless oil after flash chromatography
 16 (SiO₂, MeOH/EA 0-10%). R_f = 0.17 (SiO₂, MeOH/EA). ¹H
 NMR (CDCl₃) δ: 3.61-3.52 (m, 28H, CH₂OCH₂); 3.45 (t,
³J(H,H) = 6.0 Hz, 4H, CH₂OH); 1.59 (m, 8H,
 CH₂CH₂CH₂OH). ¹³C NMR (CDCl₃) δ: 71.2, 71.1, 70.4,
 70.3, 70.25, 70.2, 69.9 (CH₂OCH₂); 62.2 (CH₂OH); 29.8,
 21 26.4 (CH₂CH₂CH₂OH). FAB/MS (NBA/NaI): expected exact
 mass for (M+Na⁺)/z 449.2727, observed 449.2738.

Synthesis of 1-phthalimido-27-hydroxy-
5,8,11,14,17,20,23-hepta-oxaheptadecane (16):

26



Compound 16: Compound 15 (23.6 g, 55.3 mMol),
 phthalamide (6.3 g, 42.5 mMol), PPh₃ (11.2 g, 42.5

-59-

1 mMol) and anhydrous THF (290 ml, distilled over Na) were stirred under inert atmosphere. DEAD diethyl-azodicarboxylate (7.4 g, 42.5 mMol) in anhydrous THF (50 ml) was added slowly using a syringe pump (8.4 ml/h) at 20 °C. After the addition was complete the
6 reaction mixture was stirred at 20°C overnight. The solvent was evaporated to dryness and the residual solid was taken in boiling dH₂O. After cooling down, the precipitate was filtered and the aqueous solution was evaporated to dryness under high vacuum. The oil
11 thus obtained was chromatographed (SiO₂ flash, a) DCM, b) MeOH/EA 0-10%) yielding 16 as a colorless viscous oil (C₂₈H₄₅NO₁₀, 11.6 g, 95.2% based on recovered 15). R_f = 0.1 (SiO₂, MeOH/EA 2%). ¹H NMR (CDCl₃) δ: 7.81 (m, 2H, Ar); 7.70 (m, 2H, Ar); 3.69 (t, ³J(H,H) = 6.9 Hz, 2H, CH₂N); 3.63-3.45 (m, 30H, CH₂OCH₂ and CH₂OH);
16 1.74-1.60 (m, 8H, CH₂CH₂CH₂O). ¹³C NMR (CDCl₃) δ: 168.4 (CO); 133.9, 132.1, 123.1 (Ar); 71.3, 70.6, 70.5, 70.4, 70.1, 70.0 (CH₂OCH₂); 62.6 (CH₂OH); 37.7 (CH₂N); 30.2, 26.9, 26.6, 25.3 (CH₂CH₂CH₂O). FAB+MS
21 (NBA/NaI): 556 (M+H⁺)/z; expected exact mass for (M+Na⁺)/z 578.2941, observed 578.2963.

Synthesis of 1-phtalimido-27-[4,4'-bismethoxytrityl]oxyl-5,8,11,14,17,20,23-hepta-oxaheptadodecane (17) (step 'i' figure 3:
26 compound 17 is an intermediate and is therefore not shown):

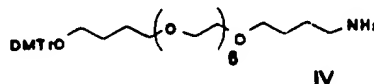
Compound 17: Compound 16 (1.4 g, 2.57 mMol) and DMTrCl (1.74 g, 5.1 mMol) in pyridine (10 ml) were
31 stirred at 20°C for 48 h. Et₃N (1 ml) was added and the solvent was evaporated to dryness. The oily residue thus obtained was taken in DCM (20 ml) and

-60-

- 1 extracted with dH₂O (2 5 20 ml). The organic layer was
dried over Na₂SO₄, filtered, and evaporated to
dryness, and dried under high vacuum. 17 (C₃₉H₆₃O₁₂N,
1.9 g, 86%) was obtained as a pale yellow oil after
flash chromatography (SiO₂, EA/hexane 50-75%). R_f =
6 0.33 (SiO₂, EA). ¹H NMR (CDCl₃) δ: 7.84 (m, 2H,
phthalimide); 7.71 (m, 2H, phthalimide); 7.44 (d,
³J(H,H) = 7.2 Hz, 2H, Ph DMTr); 7.32 (d, ³J(H,H) = 8.8
Hz, 4H, p-MeOPh DMTr); 7.28 (t, ³J(H,H) = 7.7 Hz, 2H,
Ph DMTr); 7.20 (t, ³J(H,H) = 7.1 Hz, 1H, Ph DMTr);
11 6.82 (d, ³J(H,H) = 8.8 Hz, 4H, p-MeOPh DMTr); 3.79
(s, 6H, CH₃O); 3.71 (t, ³J(H,H) = 6.9 Hz, 2H, CH₂N);
3.64-3.42 (m, 28H, CH₂OCH₂); 3.06 (t, ³J(H,H) = 5.9
Hz, 2H, CH₂ODMTr); 1.80-1.59 (m, 8H, CH₂CH₂CH₂O). ¹³C
16 NMR (CDCl₃) δ: 168.5 (CO); 158.2, 145.3, 136.6, 130.0,
128.2, 127.7, 126.5, 112.9 (DMTr Ar); 133.9, 132.1,
123.2 (phthalimide Ar); 85.8 ((p-MeOPh)₂PhCO); 71.3,
70.6, 70.55, 70.2, 70.0 (CH₂OCH₂); 63.0 (CH₂ODMTr);
55.2 (CH₃O); 37.7 (CH₂N); 26.9, 26.7, 26.6, 25.3
(CH₂CH₂CH₂O). FAB+MS (NBA/CsI): expected exact mass
21 for (M+Cs⁺)/z 990.3405, observed 990.3382.

Synthesis of 1-amino-27-[(4,4'-bismethoxytrityl)oxyl]-
5,8,11,14,17,20,23-heptaaoxaheptadodecane (IV) figure
3 step i:

26



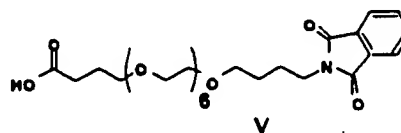
Compound (IV): Compound 17 (1.1 g, 2.3 mMol), (NH₂)₂
(0.4 ml, 12.8 mMol) and EtOH (40 ml) were refluxed
for 2 h. After cooling down the precipitate was

-61-

- 1 filtered and the solvent evaporated to dryness. The residual oil was taken in diethylether and the precipitate was filtered off. The organic phase was evaporated to dryness yielding IV ($C_{41}H_{61}NO_{10}$, 0.85 g, 91%) which was used in the next step without further
- 6 purification.
- 1H NMR ($CDCl_3$) δ : 7.44 (d, $^3J(H,H) = 7.1$ Hz, 2H, Ph DMTr); 7.32 (d, $^3J(H,H) = 8.9$ Hz, 4H, *p*-MeOPh DMTr); 7.28 (t, $^3J(H,H) = 7.4$ Hz, 2H, Ph DMTr); 7.20 (t, $^3J(H,H) = 7.1$ Hz, 1H, Ph DMTr); 6.82 (d, $^3J(H,H) = 8.9$
- 11 Hz, 4H, *p*-MeOPh DMTr); 3.79 (s, 6H, CH_3O); 3.65-3.40 (m, 28H, CH_2OCH_2); 3.05 (t, $^3J(H,H) = 6.0$ Hz, 2H, CH_2ODMTr); 2.70 (t, $^3J(H,H) = 6.5$ Hz, 2H, CH_2NH_2); 1.7-1.45 (m, 8H, $CH_2CH_2CH_2O$). ^{13}C NMR ($CDCl_3$) δ : 158.2, 145.3, 136.6, 130.0, 128.1, 127.7, 126.5, 112.9 (Ar);
- 16 85.6 ((*p*-MeOPh) $_2$ PhCO); 71.3, 71.2, 70.5, 70.1, 70.0 (CH_2OCH_2); 63.0 (CH_2ODMTr); 55.2 (CH_3O); 42.0 (CH_2NH_2); 30.4, 27.0, 26.6, 26.5 ($CH_2CH_2CH_2O$). FAB+MS (NBA/CsI): expected exact mass for $(M+H^+)/z$ 728.4374, observed 728.4351; 860 $(M+Cs^+)/z$.

21

Synthesis of 27-phtalimido-5,8,11,14,17,20,23-heptaoxaheptadodecanoic acid (V) figure 3 step m:



- 26 Compound (V): Compound 16 (8.6 g, 15.5 mMol) and pyridinium dichromate (29.2 g, 77.5 mMol) were stirred in DMF (145 ml) under inert atmosphere at 20°C for 12 h. dH_2O (1500 ml) was added and the

-62-

1 reaction media was extracted with DCM (4'5 1500 ml).
 The organic layers were combined and evaporated to
 500 ml final volume, dried over MgSO_4 , filtered, and
 evaporated to dryness. The residue was taken in
 diethylether (500 ml) and filtered on celite to
 6 remove the insoluble materials. The oil obtained
 after evaporation of the solvent was chromatographed
 (SiO_2 flash, MeOH/EA 0-10%) yielding pure V as a
 colorless viscous oil ($\text{C}_{28}\text{H}_{43}\text{NO}_{11}$, 7.2 g, 82%). $R_f = 0.4$
 (SiO_2 , MeOH/EA 10%). ^1H NMR (CDCl_3) δ : 7.82 (m, 2H,
 11 Ar); 7.70 (m, 2H, Ar); 3.67 (t, $^3J(\text{H},\text{H}) = 6.9$ Hz, 2H,
 CH_2N); 3.62-3.44 (m, 28H, CH_2OCH_2); 2.41 (t, $^3J(\text{H},\text{H}) =$
 7.2 Hz, 2H, $\text{CH}_2\text{CO}_2\text{H}$); 1.87 (m, 2H, $\text{CH}_2\text{CH}_2\text{CO}_2\text{H}$); 1.71
 (m, 2H, NCH_2CH_2); 1.61 (m, 2H, $\text{NCH}_2\text{CH}_2\text{CH}_2$). ^{13}C NMR
 (CDCl_3) δ : 176.8 (CO_2H); 168.4 (CO); 133.9, 132.1,
 16 123.2 (Ar); 70.6, 70.5, 70.1 (CH_2OCH_2); 37.7 (CH_2N);
 31.0 ($\text{CH}_2\text{CO}_2\text{H}$); 26.9 ($\text{CH}_2\text{CH}_2\text{CO}_2\text{H}$); 25.3, 24.8
 ($\text{CH}_2\text{CH}_2\text{CH}_2\text{N}$). FAB+MS (NBA/NaI): 570 ($\text{M}+\text{H}^+$)/z; expected
 exact mass for ($\text{M}+\text{Na}^+$)/z 592.2734, observed 592.2748;
 614 ($\text{M}-\text{H}^++2\text{Na}^+$)/z.

21 Synthesis of 27-amino-5,8,11,14,17,20,23-
heptaooxaheptadodecanoic acid (18) figure 3, step i:



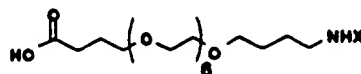
18

26 Compound 18: Compound V (2.7 g, 4.7 mMol), $(\text{NH}_2)_2$
 hydrazine (1.5 ml, 48.0 mMol) and EtOH (120 ml) were
 refluxed for 3 h. After cooling down (1 h), the
 precipitate was filtered and the solvent evaporated
 to dryness. The residual oil was taken in 1 M HCl,

-63-

1 filtered on paper, evaporated to dryness and dried
under high vacuum. It was then taken in
MeOH/diethylether 30%, filtered and evaporated to
dryness yielding 18 in the hydrochloride salt form
(C₂₀H₄₂NO₉Cl, 2.0 g, 89.4%). This compound can be
6 further purified on a Dowex AG1X8 (OH⁻) and eluted
with 1 M HCl if the starting material (V) was not
carefully purified. ¹H NMR (D₂O) δ: 3.82-3.72 (m,
24H, OCH₂CH₂O); 3.70-3.66 (m, 4H, CH₂CH₂CH₂O); 3.15 (t,
³J(H,H) = 6.6 Hz, 2H, CH₂NH₃Cl); 2.58 (t, ³J(H,H) = 7.4
11 Hz, 2H, CH₂CO₂H); 2.03 (m, 2H, CH₂CH₂CO₂H); 1.85 (m,
4H, CH₂CH₂CH₂NH₃Cl). ¹³C NMR (D₂O) δ: 184.2 (CO₂H);
72.1, 71.8, 71.5, 71.1 (CH₂OCH₂); 41.2 (CH₂NH₃Cl); 32.5
(CH₂CO₂H); 27.6 (CH₂CH₂CO₂H); 26.0, 25.7
(CH₂CH₂CH₂NH₃Cl). FAB+MS (NBA): expected exact mass
16 for (M-Cl⁻)/z 440.2860, observed 440.2850.

Synthesis of 27-Fmoc-amido-5,8,11,14,17,20,23-
heptaoxaheptadodecanoic acid (VI) figure 3, step n:



VI, X = Fmoc
VII, X = Boc

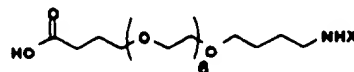
21

The hydrochloride salt of 18 (1.0 g, 2.1 mMol) and
10% Na₂CO₃ (7.8 ml, 7.4 mMol) were stirred in dioxane
(5.7 ml) and dH₂O (7.4 ml) at 0°C. FmocCl (0.6 g, 2.3
mMol) in dioxane (5.7 ml) was added dropwise over 15
26 min. The temperature was kept at +4°C for an
additional 4 hours and 1 hour at 20°C. 0.01 M HCl
(135 ml) was added, and the mixture was extracted
with DCM (3 5 70 ml). The organic layers were dried

-64-

1 over MgSO_4 , filtered, and evaporated to dryness under reduced pressure. After flash chromatography (SiO_2 , EA), VI ($\text{C}_{35}\text{H}_{51}\text{NO}_{11}$, 1.2 g, 87%) was obtained as a pure viscous colorless oil. $R_f = 0.2$ (SiO_2 , EA). ^1H NMR (CDCl_3) δ : 7.79 (d, $^3J(\text{H},\text{H}) = 7.4$ Hz, 2H, Ar); 7.63 (d, $^3J(\text{H},\text{H}) = 7.4$ Hz, 2H, Ar); 7.42 (t, $^3J(\text{H},\text{H}) = 7.3$ Hz, 2H, Ar); 7.34 (t, $^3J(\text{H},\text{H}) = 7.4$ Hz, 2H, Ar); 5.23 (t, $^3J(\text{H},\text{H}) = 5.3$ Hz, 1H, OCONH); 4.43 (d, $^3J(\text{H},\text{H}) = 6.8$ Hz, 2H, CH_2OCONH); 4.24 (t, $^3J(\text{H},\text{H}) = 6.7$ Hz, 1H, $\text{CHCH}_2\text{OCONH}$); 3.70-3.45 (m, 28H, CH_2OCH_2); 3.26 (m, 2H, OCONH CH_2); 2.43 (t, $^3J(\text{H},\text{H}) = 7.4$ Hz, 2H, $\text{CH}_2\text{CO}_2\text{H}$); 1.92 (m, 2H, $\text{CH}_2\text{CH}_2\text{CO}_2\text{H}$); 1.63 (m, 4H, $\text{NCH}_2\text{CH}_2\text{CH}_2$). ^{13}C NMR (CDCl_3) δ : 173.9 (CO_2H); 156.4 (OCONH); 143.9, 141.2, 127.5, 127.0, 125.0, 119.8 (Ar); 70.8, 70.5, 70.0, 66.2 (CH_2OCH_2); 51.5 ($\text{CHCH}_2\text{OCONH}$); 47.2 ($\text{CHCH}_2\text{OCONH}$); 40.7 (OCONH CH_2); 30.6 ($\text{CH}_2\text{CO}_2\text{H}$); 26.7 ($\text{CH}_2\text{CH}_2\text{CO}_2\text{H}$); 26.6, 24.8 (OCONH $\text{CH}_2\text{CH}_2\text{CH}_2$). FAB+MS (NBA/CsI): expected exact mass for $(\text{M}+\text{Cs}^+)/z$ 794.2516, observed 794.2527.

21 Synthesis of 27-Boc-amido-5,8,11,14,17,20,23-heptaooxaheptadodecanoic acid (VII) figure 3, step o:



VI, X = Fmoc
VII, X = Boc

26 The hydrochloride salt of 18 (0.84 g, 1.76 mMol) and Et_3N (1.0 ml, 7.3 mMol) were stirred in DMF (20 ml) under inert atmosphere at 0°C . Boc_2O (0.46 g, 2.1 mMol) in DMF (10 ml) was added dropwise over 15 min. The temperature was raised to 20°C and the mixture

-65-

1 was stirred for an additional 3 h. dH₂O (4 ml) was
added to destroy excess Boc₂O and the solvent was
evaporated to dryness under high vacuum. The residual
oil was taken in 0.01 M HCl (25 ml) and extracted
with ethylacetate (3 5 25 ml). The organic layers
6 were combined and dried over MgSO₄, filtered and
evaporated to dryness. After flash chromatography
(SiO₂, EA), VII (C₂₃H₄₉NO₁₁, 0.8 g, 84%) was obtained as
a pure viscous colorless oil. R_f = 0.26 (SiO₂,
MeOH/DCM 10%). ¹H NMR (CDCl₃) δ: 3.70-3.40 (m, 28H,
CH₂OCH₂); 3.09 (m, 2H, CH₂NHCO); 2.42 (t, ³J(H,H) = 7.1
11 Hz, 2H, CH₂CO₂H); 1.88 (m, 2H, CH₂CH₂CO₂H); 1.55 (m, 4H,
CONHCH₂CH₂CH₂); 1.41 (s, 9H, (CH₃)₃C).
¹³C NMR (CDCl₃) δ: 176.9 (CO₂H); 156.0 (OCONH); 70.8,
70.5, 70.4, 70.0, 69.9 (CH₂OCH₂ and (CH₃)₃C); 40.2
16 (OCONHCH₂); 30.8 (CH₂CO₂H); 28.3 (CH₃)₃C; 26.7, 26.65
(OCONHCH₂CH₂CH₂); 24.8 (CH₂CH₂CO₂H). FAB+MS (NBA/CsI):
expected exact mass for (M+Cs⁺)/z 672.2360, observed
672.2385; 804 (M-H⁺+2Cs⁺)/z.
FAB+MS (NBA): expected exact mass for (M+H⁺)/z
21 540.3384, observed 540.3405.

Synthesis of Fmoc-Ala₂ intermediate for (S8) as
illustrated in figure 4:

This compound was prepared according to a procedure
as described in Atherton et al. *Solid Phase Peptide
26 Synthesis: A Practical Approach*; Oxford University
Press: Oxford, 1989, pp. 47-53. The typical
procedure is as follows: H₂NAla₂OH (5.0 g, 31.2 mMol;
commercially available from Sigma) and 10% Na₂CO₃ (83
31 ml, 78.0 mMol) were stirred in dioxane (55 ml) and
dH₂O (57 ml) at 0°C. FmocCl (9-fluorenylmethyl
chloroformate) (8.5 g, 32.8 mMol; Aldrich chemical

-66-

1 company) in dioxane (55 ml) was added dropwise over
30 min. The temperature was maintained at 0 °C for 1
hour and at 20°C for 1 h. The precipitate that
appeared during the course of the reaction was
dissolved by adding dH₂O (360 ml) and dioxane (210 ml)
6 followed by stirring at 20°C for 1 h. The reaction
medium was cautiously acidified to pH 2-3, the
precipitate formed was filtered, and the liquid phase
was extracted with ethylacetate (3 5 250 ml). The
organic layers were evaporated to dryness under
11 reduced pressure leaving a white solid which was
combined with the precipitate obtained by filtration,
and suspended in dH₂O (250 ml). It was then filtered,
washed with dH₂O (500 ml) and dried under high vacuum.
The solid thus obtained was suspended in diethylether
16 (250 ml) filtered, washed with diethylether (500 ml)
and dried under high vacuum yielding FmocAla₂
(C₂₁H₂₂N₂O₅, 9.3 g, 77.5%) as a white solid. M.p. =
192°C. R_f = 0.35 (SiO₂, chloroform/ MeOH/ CH₃CO₂H
85/10/5). ¹H NMR (DMF d₇) δ: 8.18 (d, ³J(H,H) = 7.3
21 Hz, 1H, OCONH); 7.94 (d, ³J(H,H) = 7.5 Hz, 2H, Ar);
7.77 (t, ³J(H,H) = 7.5 Hz, 2H, Ar); 7.53 (d, ³J(H,H) =
7.8 Hz, 1H, CONH); 7.44 (t, ³J(H,H) = 7.5 Hz, 2H, Ar);
7.35 (t, ³J(H,H) = 7.4 Hz, 2H, Ar); 4.45-4.20 (m, 5H,
26 CHCH₂OCONH, CHCH₃); 1.37 (d, ³J(H,H) = 7.2 Hz, 6H,
CH₃).
¹³C NMR (DMF d₇) δ: 174.8 (CO₂H); 173.2 (CONH); 156.7
(OCONH); 145.0, 144.9, 141.8, 128.4, 127.8, 126.2,
126.1, 120.8 (Ar); 67.0 (CH₂OCONH); 51.0 (CHCH₂OCONH);
48.5, 47.7 (CHCH₃); 18.7, 17.8 (CH₃). FAB+MS (NBA):
31 expected exact mass for (M+H⁺)/2 383.1607, observed
383.1618.

-67-

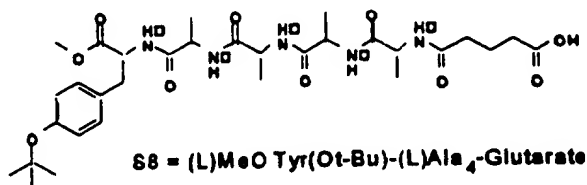
- 1 Synthesis of Z-(L)Ala₂-(L)Tyr(Ot-Bu)OMe (26)
intermediate for (88) as illustrated in figure 4:
- Compound 26: Compound 24 (2.35 g, 8 mMol;
commercially available from Aldrich), the
hydrochloride salt of 25 (2.3 g, 8 mMol; commercially
available from Aldrich) and Et₃N (4.5 ml, 32 mMol)
were stirred in DMF (80 ml) at 0°C. HBTU (6.0 g, 16
mMol) in DMF (80 ml) was added dropwise over 1 hour
and the reaction mixture was stirred at 20°C for 3
hours and stored at 0°C overnight. dH₂O (20 ml) was
added to destroy excess HBTU, and the solvent was
evaporated to dryness under high vacuum. The solid
thus obtained was chromatographed (SiO₂ flash, EA/DCM
0-50%). 26 was obtained as a white solid (C₂₈H₃₇N₃O₇,
3.75 g, 91.5%). M.p. = 167°C. R_f = 0.38 (SiO₂, EA/DCM
50%). ¹H NMR (CDCl₃) δ: 7.34 (m, 5H, Ph Z); 6.98 (d,
³J(H,H) = 9 Hz, 2H, Tyr Ar); 6.89 (d, ³J(H,H) = 8.5
Hz, 2H, Tyr Ar); 6.80 (d, ³J(H,H) = 7.5 Hz, 1H, CONH);
6.73 (d, ³J(H,H) = 7.5 Hz, 1H, CONH); 5.54 (d, ³J(H,H)
= 7.1 Hz, 1H, OCONH); 5.10 (d, ³J(H,H) = 3.0 Hz, 2H,
CH₂Ph Z); 4.78 (m, 1H, CHCH₂ Tyr); 4.49 (m, 1H,
CHCH₃); 4.26 (m, 1H, CHCH₃); 3.65 (s, 3H, CO₂CH₃); 3.04
(d, ³J(H,H) = 6.1 Hz, 2H, CHCH₂ Tyr), 1.40-1.20 (m,
15H, (CH₃)₃C and CH₃). ¹³C NMR (CDCl₃) δ: 172.1, 171.7,
171.5 (CO₂CH₃, CONH); 156.0 (OCONH); 154.5, 136.1,
130.4, 129.6, 128.5, 128.2, 128.1, 124.2 (Ar); 78.4
(CH₃)₃C; 67.0 (CH₂OCONH); 53.4, 52.3, 48.9
(NHCHRCO); 50.5 (CO₂CH₃); 37.2 (CHCH₂ Tyr); 28.8
(CH₃)₃C; 18.7, 18.3 (CH₃CH).
FAB+MS (NBA/CsI): expected exact mass for (M+Cs⁺)/z
660.1686, observed 660.1664.

-70-

1 common organic solvents. Pure compound 29 ($C_{26}H_{41}N_5O_7$,
 2.7 g, quantitative yield) was obtained as a yellow
 foam from boiling CH_3CN . M.p. = $198^\circ C$. (R_f = 0.12
 (SiO_2 , CH_3CN). 1H NMR ($DMF d_7$) δ : 8.29 (brd, 1H, CONH);
 8.20 (d, $^3J(H,H)$ = 7.0 Hz, 1H, CONH); 8.15 (d, $^3J(H,H)$
 6 = 7.6 Hz, 1H, CONH); 7.91 (d, $^3J(H,H)$ = 7.5 Hz, 1H,
 CONH); 7.20 (d, $^3J(H,H)$ = 8.5 Hz, 2H, Ar); 6.93 (d,
 $^3J(H,H)$ = 8.4 Hz, 2H, Ar); 4.57 (m, 1H, $CHCH_2$ Tyr);
 4.38 (m, 3H, $CHCH_3$); 3.64 (s, 3H, CO_2CH_3); 3.57 (m,
 1H, H_2NCHCH_3); 3.04 (m, 2H, $CHCH_2$ Tyr); 1.40-1.20 (m,
 11 21H, $(CH_3)_3C$ and CH_3). ^{13}C NMR ($DMF d_7$) δ : 175.8, 173.3,
 173.1, 172.7, 172.6 (CONH and CO_2CH_3); 155.0, 132.6,
 130.5, 124.5 (Ar); 78.4 ($(CH_3)_3C$); 54.8, 51.2, 49.6,
 49.5, 49.2 ($NHCHRCO$); 52.2 (CO_2CH_3); 37.2 ($CHCH_2$ Tyr);
 29.0 ($(CH_3)_3C$); 21.1, 18.6, 18.4, 18.1 (CH_3CH). FAB+MS
 16 (NBA): expected exact mass for $(M+H^+)/z$ 536.3084,
 observed 536.3070.

Synthesis of Glutarate-(L)Ala₄-(L)Tyr(Ot-Bu)OMe (S8)
as illustrated in figure 4:

21



26

Compound S8: Compound 29 (1 g, 1.9 mMol) and Et_3N
 (1.0 ml, 7.6 mMol) were stirred at $0^\circ C$ in DMF (100
 ml). Glutaric anhydride (0.23 g, 1.9 mMol) in DMF (50
 ml) was added dropwise over 45 min after which the
 temperature was raised to $20^\circ C$ for 3 h, then the

-71-

1 reaction media was stored overnight at 4°C. The
solvent was evaporated to dryness under high vacuum
and the solid obtained was suspended in 0.1 M HCl (50
ml) and sonicated (10 min). The white precipitate was
6 filtered and washed with 0.1 M HCl, dH₂O, DMF, dH₂O,
and EtOH (100 ml each) and dried under high vacuum
yielding **88** as a white powder (C₃₁H₄₇N₅O₁₀, 0.96 g,
78%). M.p. = 263°C. ¹H NMR (DMSO d₆) δ: 8.22 (d,
³J(H,H) = 7.4 Hz, 1H, CONH); 8.04 (d, ³J(H,H) = 6.6
Hz, 1H, CONH); 8.02 (d, ³J(H,H) = 6.7 Hz, 1H, CONH);
11 7.88 (d, ³J(H,H) = 6.7 Hz, 1H, CONH); 7.86 (d, ³J(H,H)
= 7.1 Hz, 1H, CONH); 7.10 (d, ³J(H,H) = 8.4 Hz, 2H,
Ar); 6.86 (d, ³J(H,H) = 8.4 Hz, 2H, Ar); 4.41 (m, 1H,
CHCH₂ Tyr); 4.22 (m, 4H, CHCH₃); 3.54 (s, 3H, CO₂CH₃);
2.92 (m, 2H, CHCH₂ Tyr); 2.19 (t, ³J(H,H) = 7.4 Hz,
16 2H, CH₂CO₂H); 2.13 (t, ³J(H,H) = 7.4 Hz, 2H, CH₂CONH);
1.68 (m, 2H, HO₂CCH₂CH₂) 125 (s, 9H, (CH₃)₃C); 1.22-
1.10 (m, 12H, CH₃). ¹³C NMR (DMF d₇) δ: 174.9, 174.3,
173.7, 173.6, 173.0, 172.7, 172.65 (CONH, CO₂H,
CO₂CH₃); 155.0, 132.7, 130.6, 124.5 (Ar); 78.6
21 ((CH₃)₃C); 54.8, 50.6, 50.4, 49.8, 49.4 (NHCHRCO);
52.2 (CO₂CH₃); 37.3 (CHCH₂ Tyr); 35.1, 33.8
(HO₂CCH₂CH₂CONH); 29.0 ((CH₃)₃C); 21.5, 18.2, 17.8,
18.7 (HO₂CCH₂CH₂, CHCH₃). FAB+MS (NBA/CsI): expected
exact mass for (M+Cs⁺)/z 782.2377, observed 782.2397;
26 914 (M-H⁺+2Cs⁺)/z.

-72-

**Early Embodiments of the Encoded Reaction Cassette:
The Matrix:**

In early attempts to implement this technology we encountered a variety of problems. First, certain
5 matrix materials, like CPG (Controlled Pore Glass), were labile and liberated the polynucleotide-substrate hybrid leading to an undesired background reaction. We presumed that cleavage of a bond
10 between the solid support and the first linker was responsible for this problem. In addition to the problem with its lability, CPG possesses free hydroxyl groups on which the polynucleotide chain can be grown during polynucleotide synthesis (25), leading to unwanted labile bonds. A second
15 difficulty was that enzymatic cleavage of the cassette was slow and incomplete (data not shown) because of steric hindrance of the substrate by the matrix and/or the polynucleotide. For this reason we had to extend the length of the linkers either
20 between the solid support and the substrate and/or the substrate and the polynucleotide. For our purposes TentaGel was found to have much better mechanical and chemical properties. Furthermore, it possesses a long polyoxyethylene arm which attenuates
25 the hindrance problem. Finally, the synthesis had to be simple so that the cassette can be easily prepared in a short time. All these considerations led to the strategy depicted in scheme 1.

30 TentaGel is a tentacle copolymer of PEG (polyethylene glycol), and PS (polystyrene). It has been used successfully in solid phase peptide synthesis, e.g., B. G. de la Torre, B. G. et al. *Tetrahedron Lett.* (1994): vol. 35, pages 2733-2736;
35 J. Haralambidis et al., *Tetrahedron Lett.* (1987):

**Matrix
(TentaGel)**

Chemical reaction scheme showing the synthesis of a peptide-linked lipid:

Starting material: A lipid molecule with a triacylglycerol (TG) head and a polyether tail ending in an amine group (-NH_2).

Reaction 1: The starting material reacts with a peptide containing a p-hydroxybenzyl group (labeled "Substrate Peptide Synthesis").

Intermediate: The resulting molecule is a lipid with a polyether tail and a peptide chain ending in an amine group (-NH_2). The peptide chain includes a p-hydroxybenzyl group.

Reaction 2: The intermediate reacts with a reagent (indicated by a downward arrow) to yield the final product.

Final product: A lipid molecule with a polyether tail and a peptide chain ending in an amine group (-NH_2). The peptide chain includes a p-hydroxybenzyl group.

Solid Phase Polynucleotide Synthesis

Deprotection

Cleavage in Organic Media (α -Chymotrypsin)

Cleavage in Aqueous Media (α -Chymotrypsin)

Devothetion

PCR
SCHEME 1

vol. 26, pages 5199-5202; and G. Barany, et al. in *Peptides : Proceedings of the Twelfth American Peptide Symposium* (1992): Escon, Leiden, page 604. It has been used successfully in solid phase DNA synthesis, e.g., H. Gao et al. *Tetrahedron Lett.* (1991): vol. 32, pages 5477-5480 and P. Wright et al. *Tetrahedron Lett.* (1993): vol. 34, pages 3373-3376. TentaGel has also been shown to be compatible with biocatalysts, e.g., L., Meldal et al. *J. Chem. Soc., Chem. Commun.* (1994), p. 1849. It is stable to extremes of pH, and can be used in a variety of solvents. Its high swelling properties (4-7 times) in all usual solvents is an additional feature that makes it attractive for reactions involving biocatalysis. This polymer has the same mobility and dynamics as polyethylene glycol which has been used as a soluble support for oligonucleotide synthesis, e.g., E. Bayer, *Angew. Chem., Intl. Ed. Engl.* (1991): vol. 32, pages 5477-5480. It has a high diffusion coefficient, sorption, and mechanical stability. The reaction kinetics are of the same order as in solution because the functional groups are completely solvated (supra). Since the polyethylene glycol part of the resin (70-80% w/w), dominates its physico-chemical behavior the substrate-polynucleotide hybrid grown on it will be solubilized in organic or aqueous solutions. Finally, this matrix is commercially available with different functionalities (OH, NH₂, SH, CO₂H, CHO, Br), which will facilitate the introduction of different types of substrates.

Synthesis of the Substrate Portion of the Cassette:
The catalyst chosen to study our cassette methodology had to be chemically and physically well defined.

-75-

α -Chymotrypsin seemed to be an ideal enzyme for this purpose. The substrate portion of the cassette was L-Ala, - L-Tyr - L-Ala, which is known to be the best substrate for α -chymotrypsin, e.g., W.K. Baumann et al., *Eur. J. Biochem.* (1973): vol. 39, 381-391. The choice of this substrate was dictated by the fact that our goal in these initial studies was to explore the lower limit of the sensitivity of the system.

It was not necessary to introduce a spacer between the solid support and the substrate since the TentaGel matrix is endowed with an extended polyethylene glycol arm bearing a terminal functional group that allows the direct attachment of the cassette substrate (Scheme 1). The loading of the matrix is about 280 millimoles/gram. In order to avoid the generation of hindered sites, we functionalized only the most exposed ones (40-60 millimoles/gram) by adding a large excess of matrix in the first step of the synthesis and capping the unreacted groups.

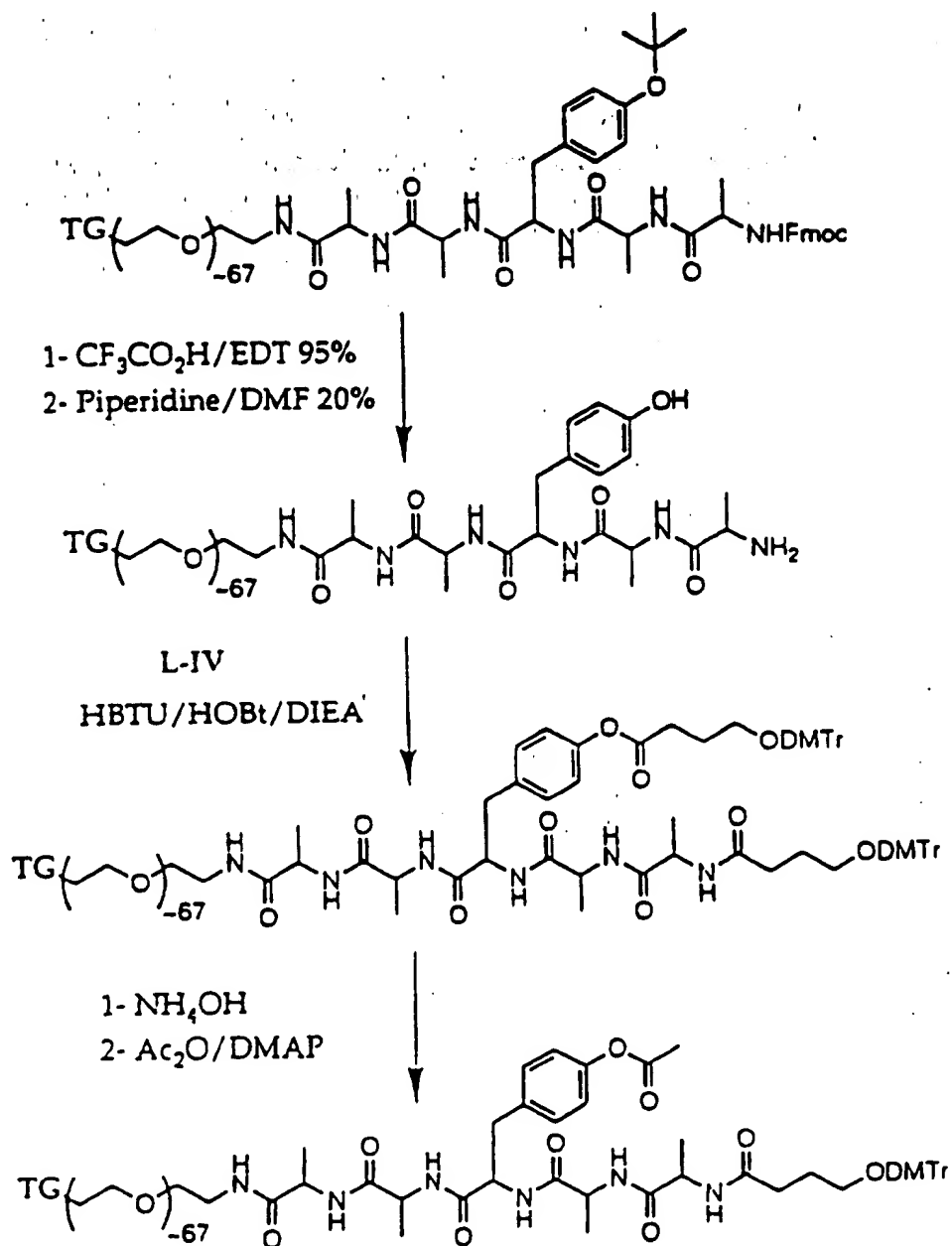
We anticipated that the spacing between the substrate and the DNA tag was a critical design feature of the cassette and, thus, four different linkers were prepared (Figure 15). Linker L-I loses slowly the Fmoc (9-fluorenylmethoxycarbonyl) protecting group inducing the formation of a side product that is not practical to separate from the pure compound. Thus, we prepared the more stable derivative L-II which has a CBZ (benzyloxycarbonyl) protecting group. Unfortunately, the acetoxy group in both L-I and L-II was found to be labile under the strongly acidic conditions required for the

-76-

deprotection of the substrate (CF₃CO₂H/ethanedithiol, 95%, 2 hours). Accordingly, it is preferable to prepare a longer version of L-I, L-III which does not have the labile acetoxy moiety. However, L-III did not couple efficiently with peptide on the resin. Instead, the free amino group (matrix-peptide) reacted irreversibly with the Fmoc of the linker, e.g., G. B. Fields et al. *Int. J. Pep. Prot. Res.* (1990)L vol. 35, 161-214. Accordingly, these results teach that L-IV is the preferred linkage agent. All data reported herein employs cassettes with this linkage agent. Since only the above three linkage agents have been tested to date, there is a possibility that other as yet untested linker appendages may prove superior.

The peptide sequence selected as an exemplary substrate requires a deprotection step on the Tyrosine-O-t-Bu with CF₃CO₂H/ethanedithiol, 95% for 2 hours. Since this deprotection can not be performed after the polynucleotide synthesis (concentrated acid leads to many side reactions on the polynucleotide), it was necessary to change the Tyrosine protecting group from a t-Bu to a base labile protecting group which can be removed at the same time as the deprotection of the DNA. Scheme 2 illustrates a pathway employed for protecting group exchange and for the introduction of the linker. Aside from its utility for the present experiment, this synthesis shows that the system can be readily transformed and modified chemically so as to be compatible with a variety of substrates.

-77-



SCHEME 2

Synthesis of the Polynucleotide Portion of the Cassette. The polynucleotide sequence is shown in Figure 16. It possesses two primer sequences and one encoding sequence which identifies the substrate which in this case is a pentapeptide where each amino acid is arbitrarily assigned a triplet nucleotide sequence. Obviously, any nucleotide sequence may be used to encode the nature of the substrate and the choice of the nature of the code will depend primarily on the number and complexity of test substrates.

Standard phosphoramidite methodology using CPG solid support, on a 394 Applied Biosystem DNA Synthesizer, was not efficient with the TentaGel matrix, e.g. M.J. Gait, Ed. (1990) *Oligonucleotide Synthesis, a Practicable Approach* (Oxford University Press, New York). The yield per step dropped from ~98% to ~85%. After 45 steps, the overall yield with the CPG was ~40% and only ~0.07% with the TentaGel. Modification of the classical procedure was required. After many trials, three major modifications of the procedure (see materials and methods) were found to increase the yield per step from ~85% to ~97%, corresponding to an increase in the overall yield from ~0.07% to ~25%. The polynucleotide encoded peptide thus obtained was submitted to concentrated ammonia to deprotect the polynucleotide and the peptide, followed by 3% trichloroacetic acid in dichloromethane treatment to remove the dimethoxytrityl protecting group.

Reaction Specificity. The cassette was submitted to enzyme cleavage and the results after the amplification by PCR of the liberated polynucleotide

-79-

are illustrated in Figure 17.

Lanes 1-8 show the results after incubation at 20 °C for 30 minutes with trypsin, pepsin, papain, carboxypeptidase A, proteinase K, α -chymotrypsin, α -chymotrypsin + Bowman-Birk inhibitor, and no enzyme, e.g., Y. Birk, Y. Int. J. Peptide Protein Res. (1985): vol. 25, pages 113-131. Lane 9 corresponds to the positive control and lane 10 to the negative control.

The data in lane 6 show that in the presence of α -chymotrypsin a band corresponding to 45 nucleotides is present, indicating a net cleavage of the substrate by this enzyme. This interpretation is further supported by the control experiments. When the cassette is incubated with trypsin, pepsin, papain, or carboxypeptidase A, no band could be detected on the agarose gel which is in agreement with the specificity of these enzymes. When the Bowman-Birk inhibitor is added to α -chymotrypsin (lane 7), no cleavage is detected. As expected, in the presence of proteinase K a band is detected indicating a net cleavage by this enzyme. The intensity of the band indicates that the cleavage by proteinase K is weaker than that accomplished by α -chymotrypsin. This result is in agreement with the fact that α -chymotrypsin is specific for the substrate used in this study. In the absence of any enzyme (lane 8) no cleavage is detected after 30 minutes.

Under the reaction conditions used in this study, the enzymatic activity of 1 picomole of

-80-

α -chymotrypsin was readily detected. One should be able to improve the sensitivity of detection since the substrate concentration (29.5 mM) used in our experiment was well below saturation (32). Additionally, preliminary experiments have shown that a longer linker between the substrate and the polynucleotide enhance the accessibility of the enzyme to the substrate (data not shown).

An alternative to analyzing the PCR products on agarose gel, which can become laborious when libraries of catalysts are being screened, one can simply add to the reaction mixture a fluorescent probe that undergoes fluorescence enhancement upon intercalation into the DNA. The insert in figure 17 shows a photograph taken under UV light (254 nm) of the reaction media in the presence of the YOYO-1. The first well corresponds to the experiment in lane 6, the second well to the experiment in lane 8, and the third to the probe in buffered solution without any additives. The greatest fluorescence enhancement is in the first well which contains the amplified DNA. The second well shows a background fluorescence resulting from the interaction of the probe with the primers. As expected, the third well does not show any detectable fluorescence. Another advantage of the YOYO-1 probe is that the amount of the PCR product (which should be directly related to the efficiency of the enzyme cleavage) can be quantified, e.g., M. Ogura et al., *Biotechniques* (1994): vol. 16, pages 1032-1033.

Reaction Sensitivity. It was interesting to note that after 24 hours, in the absence of α -chymotrypsin, a band corresponding to the DNA 45mer

-81-

was detected (data not shown). This background reaction can be due to bond solvolysis anywhere between the solid support and the first bases of the polynucleotide, or simply to a leakage from the matrix. In an attempt to define the cleavage site(s) we prepared the same cassette lacking the substrate unit (where the polynucleotide is directly linked to the matrix via a mixed phosphodiester bond). When this matrix and the standard cassette are incubated separately without any catalyst, one can detect a background reaction after 16 hours. The ease of detection of this uncatalyzed reaction increases between 29 hours and 51 hours.

If this uncatalyzed reaction originated from leakage from the matrix or solvolysis of a phosphodiester bond, the 45mer DNA would have been detected at the same time irrespective of whether the cassette contained a substrate unit. The fact that detectable cleavage after 16 hours is limited to cassettes containing the peptide substrate indicates that bond solvolysis occurs in the substrate sequence, most likely at a peptide bond. After 16 hours when background cleavage of the peptide bond is observed, solvolysis of the phosphodiester bond is not detected. However, by 29 hours solvolysis of the phosphodiester bond is detected.

Assuming that the rate constant for a peptide bond hydrolysis is $\sim 3 \times 10^{-9} \text{ s}^{-1}$ ($t_{1/2} \sim 7 \text{ years}$), at a cassette concentration of 29.5 mM the velocity for peptide bond hydrolysis is $\sim 9 \times 10^{-14} \text{ M/s}$, e.g., D. Kahn & W.C. Still, *J. Am. Chem. Soc.* (1988): vol. 110, pages 7529-7534. After 15 hours one would expect to have ~ 5 nanomoles of free polynucleotide in

-82-

solution. This amount is known to be readily detectable by the PCR. Since the rate constant for phosphodiester bond hydrolysis is much slower ($5.7 \times 10^{-11} \text{ s}^{-1}$) than that of peptide bond hydrolysis, the background for the cassette lacking the substrate unit will be detected only after longer incubation times, e.g., E.H. Serpersu et al. *Biochemistry* (1987): vol. 26, pages 1289-1300.

Practicality of the Encoded Cassette System.

It herein disclosed that the cassette system works reproducibly, and that the entire cassette can be assembled in less than 48 hours using conventional synthetic chemistry. Because of the simplicity and versatility of our methodology, analysis of a large number of potential catalysts can be carried out in less than 4 hours. Although, the current limit of detection is about 10^{-1} picomoles, the sensitivity and efficiency of this system can be readily improved. These improvements may be achieved either by increasing the concentration of the substrate, and/or its loading on the solid support and/or introducing longer linkers between the substrate and the polynucleotide.

The system is not limited to transformations in which bond cleavage or bond formation is the initial event. The only requirement is that the chemical transformation make a bond labile to other reagents. For example, in the search for dihydroxylation catalysts, an olefin can be used as a substrate because when it is dihydroxylated it can be selectively cleaved by periodate. Additionally, one can envision systems in which the transformation modifies the cassette such that it now becomes a

substrate for a known enzyme, e.g., K. Morikawa et al., J. Am. Chem. Soc. (1993): vol. 115, pages 8463-8464.

Finally, even using PCR conditions that are not yet optimized, it is herein demonstrated that one is able to detect in a matter of hours uncatalyzed chemical reactions with half-lives of years. This demonstrates that essentially any catalytic bond cleavage or formation event can in principle be readily detected in a very short time. The method should be applicable to detection of events that are of low efficiency either because the enzyme is poor or, more importantly, because the catalyst is only one member of a large library and is, thus, present in low concentration.

MATERIALS AND METHODS

The chemicals were purchased from Novabiochem and Aldrich for peptide synthesis, from Millipore for DNA synthesis, and from Promega for the PCR experiments. The YOYO-1 probe was purchased from Molecular Probes Inc. The solvents were purchased from Fisher or Baxter (water content < 0.001%). For synthesis of the linkers, the chemicals were purchased from Aldrich, and were used without any further purification.

Substrate Synthesis. TentaGel is commercially available from Novabiochem or Rapp Polymere (Germany). The peptide was assembled according to standard Fmoc methodology, e.g., A. Aherton & R.C. Sheppard, *Solid Phase Peptide Synthesis : A Practicable Approach* (1989): Oxford University Press. In a typical procedure, 3 equivalents of the coupling

-84-

reagent for amide bond formation, 2-(1H-Benzotriazole-1-yl)-1,1,3,3 tetramethyluronium Hexafluoro-phosphate (HBTU), 3 equivalents of N-Hydroxybenzotriazole (HOBt), 6 equivalents of N,N-diisopropyl-ethylamine (DIEA), and 3 equivalents of the N- α (9-fluorenylmethoxycabonyl)-amino acid (Fmoc-aa) are added in dimethylacetamide to the resin swollen in dichloromethane (DCM). The coupling was completed in less than an hour as judged by the Kaiser test, e.g., E. Kaiser et al. *Analyt. Biochem.* (1970): vol. 34, page 595 and V. K. Sarin et al. *Analyt. Biochem.* (1981): vol. 117, 147. After each step the resin was washed with N,N-dimethylformamide, methanol, and DCM. The Fmoc protecting group was removed upon treatment with 20% piperidine in N,N-dimethylformamide (2 X 10 minutes). The yield of each step was determined by the titration of the Fmoc group from a small sample, e.g., A. Atherton, A. & R.C. Sheppard, R. C., *Solid Phase Peptide Synthesis : A Practicable Approach* (1989): Oxford University Press, p. 107. To have a final loading of 40-60 millimoles/gram, the first step of the synthesis was performed with four fold excess of solid support to Fmoc-aa. After all the final washings, the unreacted amino groups were capped (0.25 volume of acetic anhydride 4.23 M in 2,6-lutidine; 0.75 volume of N,N-dimethylaminopyridine, 0.53 M in THF, 2 X 10 minutes). The overall yield for peptide synthesis was 98%.

The t-Butyl protecting group for the hydroxyl moiety on tyrosine was removed by treatment with $\text{CF}_3\text{CO}_2\text{H}$ /ethanedithiol 95% for 2 hours, followed by extensive washing with DCM, methanol, and N,N-dimethylformamide. The Fmoc protecting group was

removed before coupling to the linker (see scheme II). The matrix containing Tyrosine-O-L-IV was converted to Tyrosine-O-acetyl after selective deprotection of the phenolic ring (concentrated NH_4OH , 3 hours) and capping (0.25 volume of acetic anhydride, 4.23 M in 2,6-lutidine, 0.75 volume of N,N -dimethylaminopyridine, 0.53 M in THF, 30 min). The yield after each step was determined by the dimethoxytrityl cation assay, e.g., M. J. Gait, *Oligonucleotide Synthesis : A Practicable Approach* (1990): Oxford University Press, p. 48.

Linker L-IV was prepared in one step from the sodium salt of 4-hydroxybutyrate and dimethoxytrityl chloride in pyridine, e.g., H. Schaller et al., *J. Am. Chem. Soc.* (1963): vol. 85, pages 3821-3827.

DNA Synthesis. DNA synthesis was carried out on a 394 Applied Biosystem DNA Synthesizer. The standard 1 millimole cycle was modified as follows : 1) All washing steps 3, 59, 61, 66, 77, and 94 were prolonged to 30 seconds. The use of longer or shorter times decreased the yield. 2) The incubation time with phosphoramidite and tetrazole (step 45) was prolonged from 25 seconds to 120 seconds. 3) The concentration of the phosphoramidites was increased from 0.1 M to 0.2 M. The bases were deprotected upon treatment with concentrated NH_4OH for 20 hours at 55°C . The dimethoxytrityl group is removed upon treatment with 3% trichloroacetic acid in DCM (5 minutes), followed by extensive washing with DCM, tetrahydrofuran, methanol, tris-HCl buffer (20 millimolar, pH 8, NaCl 160 millimolar), and dH_2O (deionized water). After this step, the cassette is ready for use.

Enzymatic Cleavage and Inhibition Experiments.

The cassette (1 mg, 5.9 millimoles/gram) was suspended in 20 ml tris-HCl buffer (20 millimolar, pH 8, NaCl 160 millimolar) and 170 ml dH₂O. 0.85 nmol of trypsin, pepsin, papain, carboxypeptidase A, α -chymotrypsin, or α -chymotrypsin + 1 mg Bowman-Birk inhibitor (19) in 10 ml dH₂O was added to the reaction media, and the mixture was shaken at 20°C. Supernatant fluids (18.7 ml) were taken after 30 minutes and were submitted to the PCR.

PCR Experiments. Aliquots (18.7 ml) from the reaction mixture were mixed with the PCR components (MgCl₂, 2.5 millimolar, 1.2 ml; Taq buffer, 2 ml; deoxynucleotide triphosphates 2.5 millimolar, 1.6 milliliters; primers 100 picomoles/milliliter, 1 milliliter). Taq polymerase (2.5 U, 0.5 ml), was added just before starting the first PCR cycle. A positive control (PCR components only) was run with dH₂O containing 1 picomole of the polynucleotide sequence used in this study. A negative control was run under the same conditions without the polynucleotide sequence. The PCR was run on a Perkin-Elmer-Cetus 9600 instrument with the following cycle program: denaturation 94°C, 30 seconds; annealing 55°C, 30 seconds; extension 72°C, 30 seconds. After 35 cycles the results were analyzed on agarose gels (1% Gibco-BRL, 2% Nu Sieve GTG, TBE 1X, 103 millivolts).

Fluorescence Assay. After the PCR, the reaction supernatant (25 ml) was transferred to a 96-well ELISA plate and diluted to 250 ml with dH₂O (175 ml) and methanol (50 ml). The probe (1 ml, YOYO-1) was added to this media, and the results were analyzed

-87-

under UV light (254 nm).

Uncatalyzed Reactions. The cassette lacking the substrate unit was prepared as follows: TentaGel bearing a hydroxyl group functionality (1 g) was shaken with dimethoxytrityl chloride (10 eq, 85 mg) in pyridine (4 ml) at room temperature for 3 days. Titration of the dimethoxytrityl group showed a loading of 32 millimole/gram. The unreacted hydroxyl groups were acetylated (0.25 volume of acetic anhydride, 4.23 M in 2,6-lutidine, 0.75 volume of N,N-dimethylaminopyridine, 0.53 M in THF; 30 minutes). DNA synthesis was performed on this matrix following the procedure described above.

The cassette lacking the substrate (0.5 mg, 12.2 millimoles/gram) and the cassette with the substrate unit (1 mg, 6.2 millimoles/gram) were suspended separately in 20 ml of tris-HCl buffer (20 millimolar, pH 8, NaCl 160 millimolar) and 180 milliliter of dH₂O. The mixtures were shaken at 20°C and aliquots (18.7 ml) taken after 2 hours, 16 hours, 29 hours, and 51 hours were subjected to the PCR.

-88-

SEQUENCE LISTING

(1) GENERAL INFORMATION:

(i) APPLICANT: Janda, Kim D

Penniri, Hicham

Lerner, Richard A

(ii) TITLE OF INVENTION: ENCODED REACTION CASSETTE

(iii) NUMBER OF SEQUENCES: 14

(iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: The Scripps Research Institute

(B) STREET: 10666 North Torrey Pines Road, TPC-8

(C) CITY: La Jolla

(D) STATE: California

(E) COUNTRY: US

(F) ZIP: 92037

(v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk

(B) COMPUTER: IBM PC compatible

(C) OPERATING SYSTEM: PC-DOS/MS-DOS

(D) SOFTWARE: PatentIn Release #1.0, Version #1.25

(vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER: PCT/US/96

(B) FILING DATE: 18-JAN-1996

(C) CLASSIFICATION:

(vii) PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER: US 08/374,050

(B) FILING DATE: 18-JAN-1995

-89-

(viii) ATTORNEY/AGENT INFORMATION:

- (A) NAME: Lewis, Donald G
- (B) REGISTRATION NUMBER: 28,636
- (C) REFERENCE/DOCKET NUMBER: 445.1PC

(ix) TELECOMMUNICATION INFORMATION:

- (A) TELEPHONE: (619) 554-2937
- (B) TELEFAX: (619) 554-6312

(2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 4 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

Gly Gly Phe Gly

1

(2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 5 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

-90-

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Ala Ala Phe Ala Ala

1 5

(2) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 5 amino acids

(B) TYPE: amino acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

Ala Ala Tyr Ala Ala

1 5

(2) INFORMATION FOR SEQ ID NO:4:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 5 amino acids

(B) TYPE: amino acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(ix) FEATURE:

- 91 -

-91-

- (A) NAME/KEY: Modified-site
- (B) LOCATION: 5
- (D) OTHER INFORMATION: /label= Xaa
/note= "Xaa is Alanine-Glutarate"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

Ala Ala Tyr Ala Xaa

1

5

(2) INFORMATION FOR SEQ ID NO:5:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 9 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

Ala Ala Ala Ala Tyr Ala Ala Ala Ala

1

5

(2) INFORMATION FOR SEQ ID NO:6:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 5 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

-18-

-92-

(ii) MOLECULE TYPE: peptide

(ix) FEATURE:

(A) NAME/KEY: Modified-site

(B) LOCATION: 1

(D) OTHER INFORMATION: /label= Xaa

/note= "Xaa is Methylated Tyrosine-o-t-Butyl"

(ix) FEATURE:

(A) NAME/KEY: Modified-site

(B) LOCATION: 5

(D) OTHER INFORMATION: /label= Xaa

/note= "Xaa is Alanine-Glutarate"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

Xaa Ala Ala Ala Xaa

1

5

(2) INFORMATION FOR SEQ ID NO:7:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 5 amino acids

(B) TYPE: amino acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(ix) FEATURE:

(A) NAME/KEY: Modified-site

(B) LOCATION: 3

(D) OTHER INFORMATION: /label= Xaa

-93-

/note= "Xaa is Tyrosine-o-t-Butyl"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

Ala Ala Xaa Ala Ala

1

5

(2) INFORMATION FOR SEQ ID NO:8:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 45 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

GATTCTTATC CCGGGCTGAT CGTCCTCGAG GGAACCCTTC ATCGA

45

(2) INFORMATION FOR SEQ ID NO:9:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 45 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

-94-

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

AGCTACTTCC CAAGGGAGCT GCTGCTAGTC GGGCCCTATT CTTAG

45

(2) INFORMATION FOR SEQ ID NO:10:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 45 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

CTAAGAATAG GGCCCGACTA GCAGCAGCTC CCTTGGGAAG TAGCT

45

(2) INFORMATION FOR SEQ ID NO:11:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 45 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

-95-

GGCGGCTTCC CAAGGGAGCT GCTGCTAGTC TATTCTTAGG GGGCC

45

(2) INFORMATION FOR SEQ ID NO:12:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 45 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

GGCGGCTTCC CAAGGGAGCT GCTGCTAGTC TAGGCGTAGG GGGCC

45

(2) INFORMATION FOR SEQ ID NO:13:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 45 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

GGCGACGTGA TGGGCAATTG GATGATAGAC TAGGCGGAGG CGAGG

45

-96-

(2) INFORMATION FOR SEQ ID NO:14:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 45 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

CGCAGGGTGA GTAAGGCAGC ATACGCAGCA ATAGTGGACG GAGCG

45

What is claimed is:

1. An encoded reaction cassette for assaying a cleavage reaction comprising:
 - a solid phase matrix;
 - a substrate covalently linked to said solid phase matrix, said substrate being susceptible to cleavage by means of the cleavage reaction; and
 - a first polynucleotide linked to said substrate, said first polynucleotide including a first PCR primer sequence, an encoding sequence, and a second PCR primer sequence, said encoding sequence positioned between said first and second PCR sequences.
2. An encoded reaction cassette as described in Claim 1 further comprising:
 - a first linker covalently linking said solid phase matrix to said substrate and
 - a second linker covalently linking said substrate to said first polynucleotide.
3. An encoded reaction cassette as described in Claim 1 wherein:
 - said substrate is a polypeptide.
4. An encoded reaction cassette as described in Claim 1 further comprising:
 - a first linker covalently linking said solid phase matrix to said substrate,
 - a second linker covalently linking said substrate to said first polynucleotide, and
 - said substrate is a polypeptide.

5. An encoded reaction cassette as described in Claim 1 further comprising:

a second polynucleotide linked to said substrate, said second polynucleotide including the first PCR primer sequence, the encoding sequence, and the second PCR primer sequence.

6. An encoded reaction cassette as described in Claim 2 further comprising:

a third linker covalently linking said substrate to said second polynucleotide.

7. An encoded reaction cassette as described in Claim 1 wherein: said substrate is a polypeptide having a susceptibility to proteolytic cleavage by a protease and said encoding sequence encodes the polypeptide.

8. An admixture of cleavage products from an encoded reaction cassette comprising:

a solid phase cleavage product and

a soluble phase cleavage product forming an admixture with said solid phase cleavage product,

said solid phase cleavage product including a solid phase matrix and a first cleavage product of a substrate covalently linked to a solid phase matrix,

said soluble phase cleavage product including a second cleavage product of the substrate covalently linked to a first polynucleotide, said first polynucleotide including a first PCR primer sequence, an encoding sequence, and a second PCR primer sequence, said encoding sequence separating said first and second PCR sequences.

9. An admixture of cleavage products from an encoded reaction cassette as described in Claim 8 further comprising:

said solid phase cleavage product including a first linker covalently linking said solid phase matrix to said first cleavage product and

said soluble phase cleavage product including a second linker covalently linking said second cleavage product to said first polynucleotide.

10. An admixture of cleavage products from an encoded reaction cassette as described in Claim 8 wherein:

said first and second cleavage products are partial polypeptides.

11. An admixture of cleavage products from an encoded reaction cassette as described in Claim 8 further comprising:

said solid phase cleavage product including a first linker covalently linking said solid phase matrix to said first cleavage product,

said soluble phase cleavage product including a second linker covalently linking said second cleavage product to said first polynucleotide, and

said first and second cleavage products are fragments of a polypeptide.

12. An admixture of cleavage products from an encoded reaction cassette as described in Claim 8 further comprising:

a second polynucleotide linked to said second cleavage product of the substrate, said second polynucleotide including the first PCR primer

-100-

sequence, the encoding sequence, and the second PCR primer sequence.

-101-

13. An admixture of cleavage products from an encoded reaction cassette as described in Claim 8, further comprising:

a third linker covalently linking said second cleavage product to said second polynucleotide.

14. A method for detecting a cleavage agent within a sample, the method comprising the following steps:

Step A: admixing the sample with an encoded reaction cassette for producing a mixture of cleavage products, the mixture of cleavage products potentially including:

a solid phase cleavage product including a solid phase matrix and a first cleavage product of a substrate covalently linked to a solid phase matrix;

a soluble phase cleavage product including a second cleavage product of the substrate covalently linked to a first polynucleotide, said first polynucleotide including a first PCR primer sequence, an encoding sequence, and a second PCR primer sequence, said encoding sequence separating said first and second PCR sequences; and

uncleaved encoded reaction cassette; then

Step B: separating and isolating the soluble phase cleavage product from the solid phase cleavage product and uncleaved encoded reaction cassette; then

Step C: amplifying the encoding sequence of the polynucleotide of the soluble phase cleavage product separated and isolated in said Step B by means of PCR; and then

Step D: detecting the encoding sequence amplified in said Step C.

-102-

15. A method for detecting a cleavage agent within a sample as described in said Claim 14 comprising the following further step after said Step D:

Step E: correlating the detection of amplified encoding sequence of said Step D with the presence of the cleaving agent.

-103-

20. A method for detecting a cleavage agent within a sample as described in said Claim 18 wherein the cleavage agent is a protease and:

in said Step A: the substrate included within the encoded reaction cassette is a polypeptide susceptible to cleavage by the protease.

21. An admixture of unligated reactants for producing an encoded ligation cassette for assaying a ligation reaction, the admixture comprising:

a solid phase ligation component and

a soluble phase ligation component forming an admixture with said solid phase ligation component,

said solid phase ligation component including a solid phase matrix and a first ligation reactant covalently linked to the solid phase matrix,

said soluble phase ligation component include a second ligation reactant covalently linked to a first polynucleotide, said first polynucleotide including a first PCR primer sequence, an encoding sequence, and a second PCR primer sequence, said encoding sequence position between said first and second PCR sequences,

said first and second ligation reactants being ligatable for joining the solid phase ligation component to the soluble phase ligation component and forming an encoded ligation cassette.

22. An admixture of ligation components as described in Claim 21 further comprising:

said solid phase ligation component including a first linker covalently linking said solid phase matrix to said first ligation reactant and

said soluble phase ligation component including a second linker covalently linking said second

-104-

ligation reactant to said first polynucleotide.

23. An admixture of ligation components as described in Claim 21 wherein:

said first and second ligation reactants are fragments of a ligatable oligonucleotide.

24. An admixture of ligation components as described in Claim 21 further comprising:

said solid phase ligation component including a first linker covalently linking said solid phase matrix to said first ligation reactant,

said soluble phase ligation component including a second linker covalently linking said second ligation reactant to said first polynucleotide, and

said first and second ligation reactants are fragments of a ligatable oligonucleotide.

25. An admixture of ligation components as described in Claim 21 further comprising:

a second polynucleotide linked to said ligation product, said second polynucleotide including the first PCR primer sequence, the encoding sequence, and the second PCR primer sequence.

26. An admixture of ligation components as described in Claim 21 further comprising:

a third linker covalently linking said second ligation reactant to said second polynucleotide.

27. An encoded ligation cassette for assaying a ligation reaction comprising:

a solid phase matrix;

a ligation product covalently linked to said

-105-

solid phase matrix; and

a first polynucleotide linked to said ligation product, said first polynucleotide including a first PCR primer sequence, an encoding sequence, and a second PCR primer sequence, said encoding sequence separating said first and second PCR sequences.

28. An encoded ligation cassette as described in Claim 27 further comprising:

a first linker covalently linking said solid phase matrix to said ligation product and

a second linker covalently linking said ligation product to said first polynucleotide.

29. An encoded reaction cassette as described in Claim 27 wherein:

said ligation product is an oligonucleotide.

-106-

30. An encoded ligation cassette as described in Claim 27 further comprising:

- a first linker covalently linking said solid phase matrix to said ligation product,
- a second linker covalently linking said ligation product to said first polynucleotide, and
- said ligation product is an oligonucleotide.

31. An encoded ligation cassette as described in Claim 27 further comprising:

- a second polynucleotide linked to said ligation product, said second polynucleotide including the first PCR primer sequence, the encoding sequence, and the second PCR primer sequence.

32. An encoded ligation cassette as described in Claim 27 further comprising:

- a third linker covalently linking said ligation product to said second polynucleotide.

33. An encoded ligation cassette as described in Claim 27 wherein: said ligation product is a polynucleotide having a susceptibility to ligation by a ligase and said encoding sequence encodes the oligonucleotide.

34. An encoded ligation cassette produced by incubating a ligating agent with an admixture of ligation components,

- said admixture of unligated reactants including:
 - a solid phase ligation component and
 - a soluble phase ligation component forming an admixture with said solid phase ligation component,

-107-

said solid phase ligation component including a solid phase matrix and a first ligation reactant covalently linked to the solid phase matrix,

said soluble phase ligation component including a second ligation reactant covalently linked to a first polynucleotide, said first polynucleotide including a first PCR primer sequence, an encoding sequence, and a second PCR primer sequence, said encoding sequence position between said first and second PCR sequences, said first and second ligation reactants being ligatable for joining the solid phase ligation component to the soluble phase ligation component and forming an encoded ligation cassette,

said ligating agent having a ligation activity with respect to said first and second ligation reactants.

35. An encoded ligation cassette as described in claim 34 wherein:

said first and second ligation reactants are ligatable oligonucleotides; and

said ligation agent is a nucleotide ligase.

36. A method for detecting a ligating agent within a sample, the method comprising the following steps:

Step A: admixing the sample with an admixture of ligation components for producing an encoded ligation cassette, the admixture of ligation components including:

a solid phase ligation component including a solid phase matrix and a first ligation reactant covalently linked to the solid phase matrix; and

-108-

a soluble phase ligation component including a second ligation reactant covalently linked to a first polynucleotide, said first polynucleotide including a first PCR primer sequence, an encoding sequence, and a second PCR primer sequence, said encoding sequence positioned between said first and second PCR sequences; then

Step B: separating and isolating the encoded ligation cassette formed in said Step A together with unligated portions of the solid phase ligation component from the unligated portion of the soluble phase ligation component; then

Step C: amplifying the encoding sequence of the polynucleotide of the encoded ligation cassette separated and isolated in said Step B by means of PCR; and then

Step D: detecting the encoding sequence amplified in said Step C.

37. A method for detecting a ligation agent within a sample as described in said Claim 36 comprising the following further step after said Step D:

Step E: correlating the detection of amplified encoding sequence of said Step D with the presence of the ligation agent.

38. A method for detecting a ligation agent within a sample as described in said Claim 36 wherein the ligation agent is a ligase and:

in said Step A: the ligation product included within the encoded ligation cassette is a polynucleotide susceptible to ligation by the ligase.

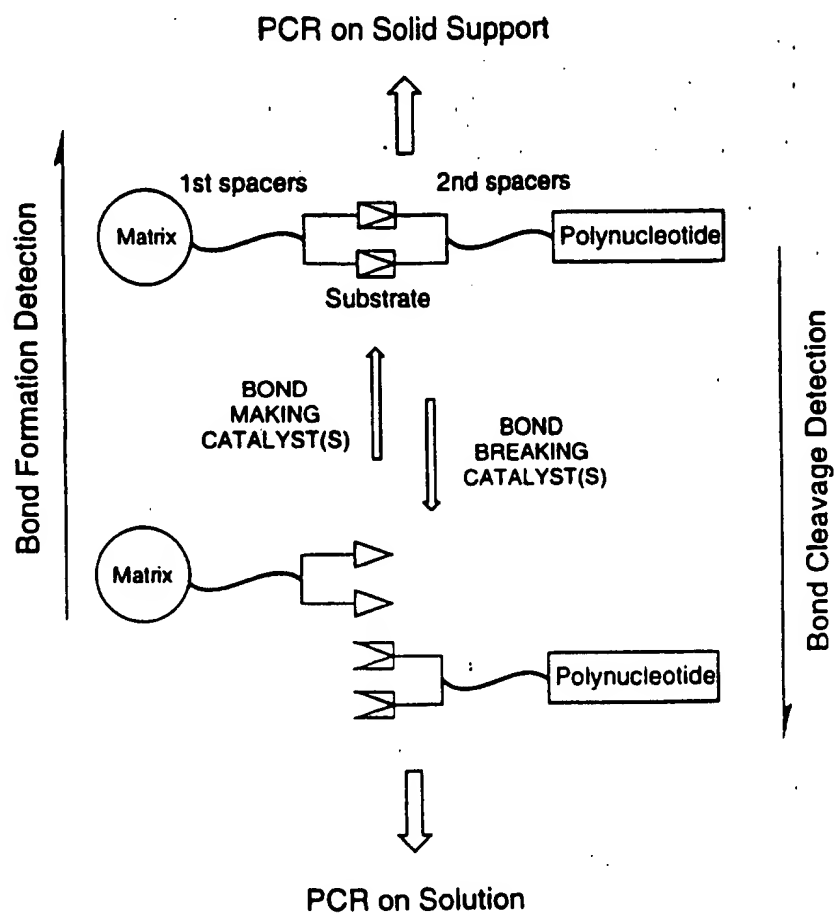


Figure 1

2/16

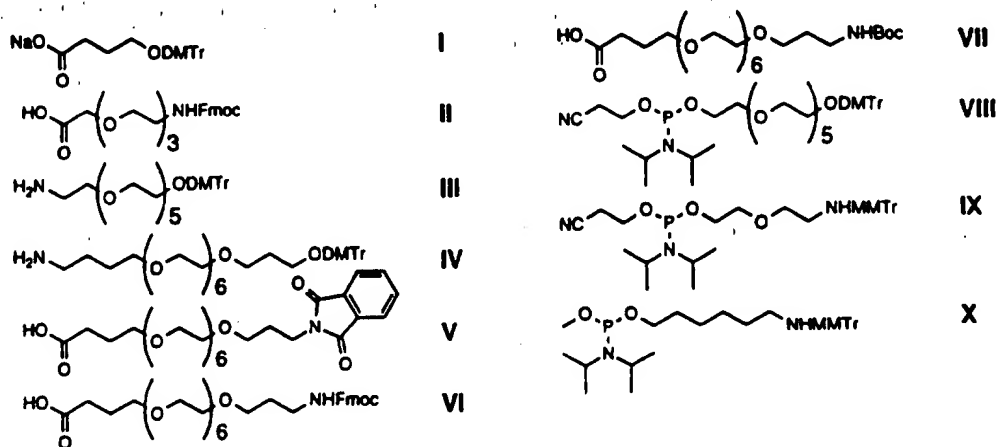
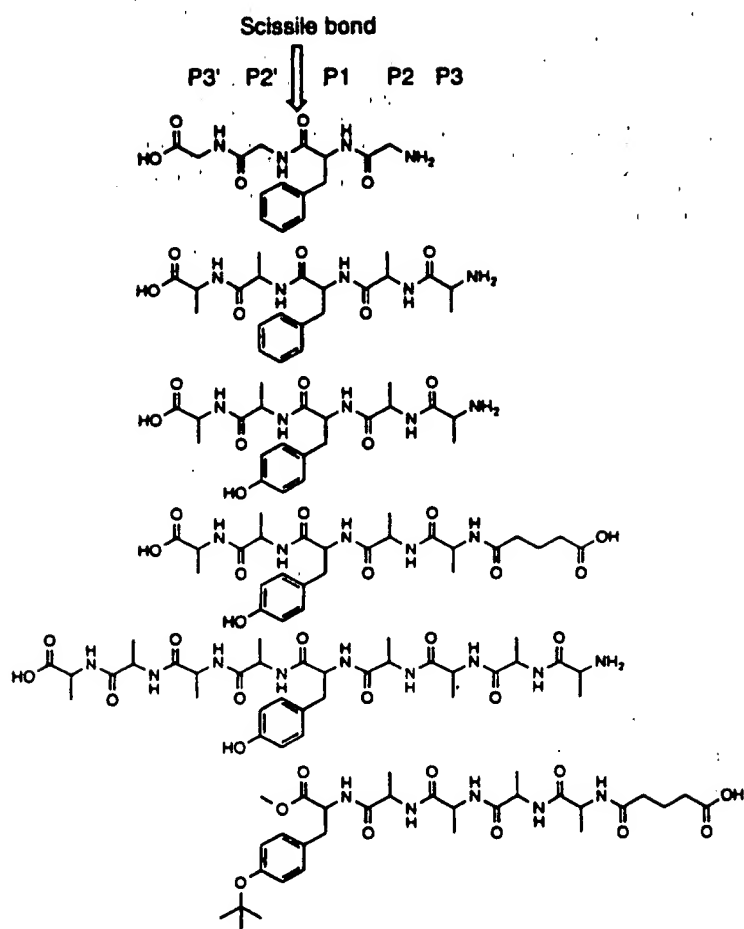


Figure 2





S1 = Gly₂-(L)Phe-Gly

S2 = Gly₂-(D)Phe-Gly

S3 = (L)Ala₂-(L)Phe-(L)Ala₂

S4 = (L)Ala₂-(L)Tyr-(L)Ala₂

S5 = (D)Ala₂-(D)Tyr-(D)Ala₂

S6 = (L)Ala₂-(L)Tyr-(L)Ala₂-Glutarate

S7 = (L)Ala₄-(L)Tyr-(L)Ala₄

S8 = (L)MeOTyr(Ot-Bu)-(L)Ala₄-Glutarate

S1 = SEQUENCE ID NO: 1
 S2 = SEQUENCE ID NO: 1
 S3 = SEQUENCE ID NO: 2
 S4 = SEQUENCE ID NO: 3
 S5 = SEQUENCE ID NO: 3
 S6 = SEQUENCE ID NO: 4
 S7 = SEQUENCE ID NO: 5
 S8 = SEQUENCE ID NO: 6

Figure 4

5/16

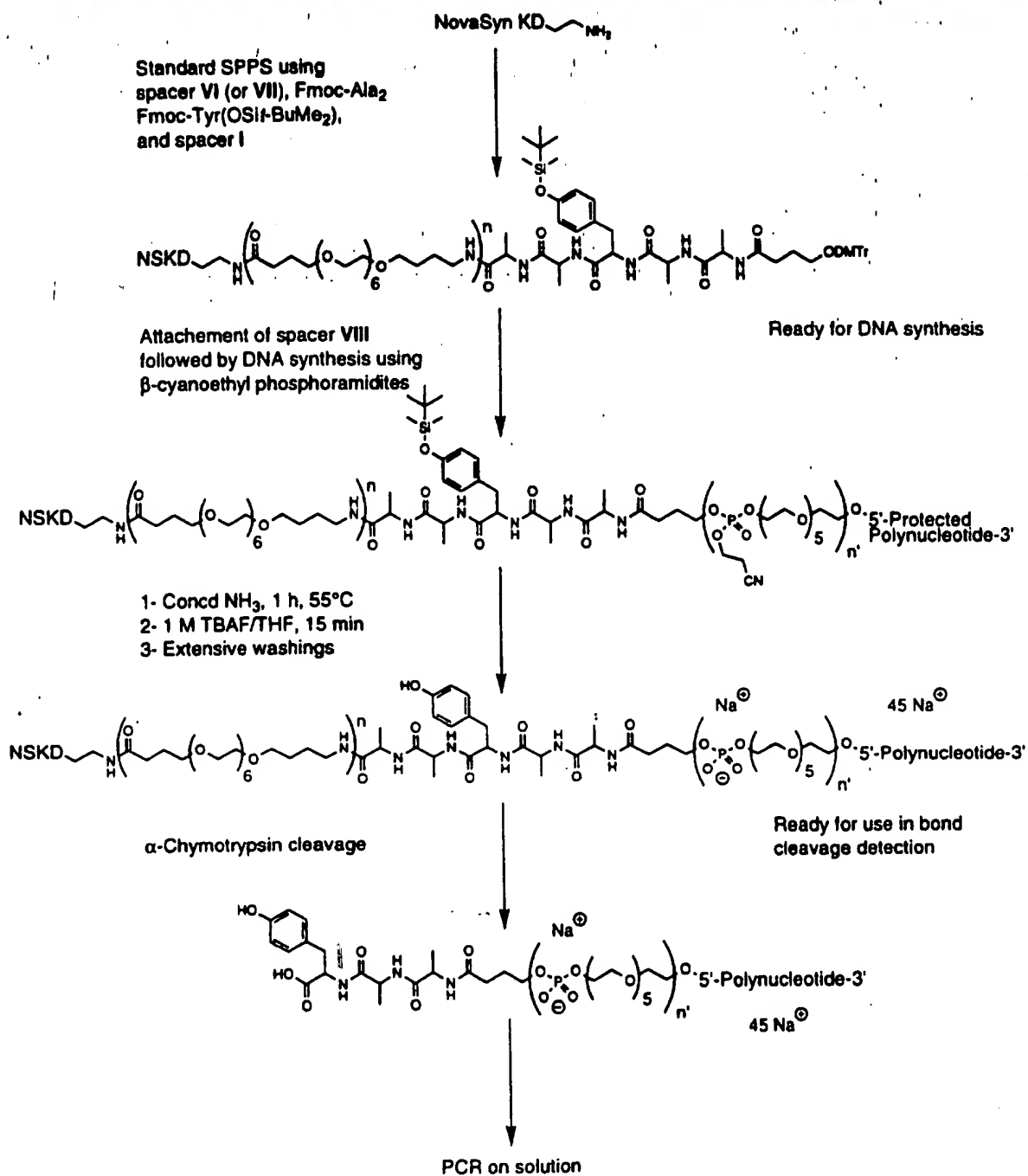


Figure 5

31/12/96

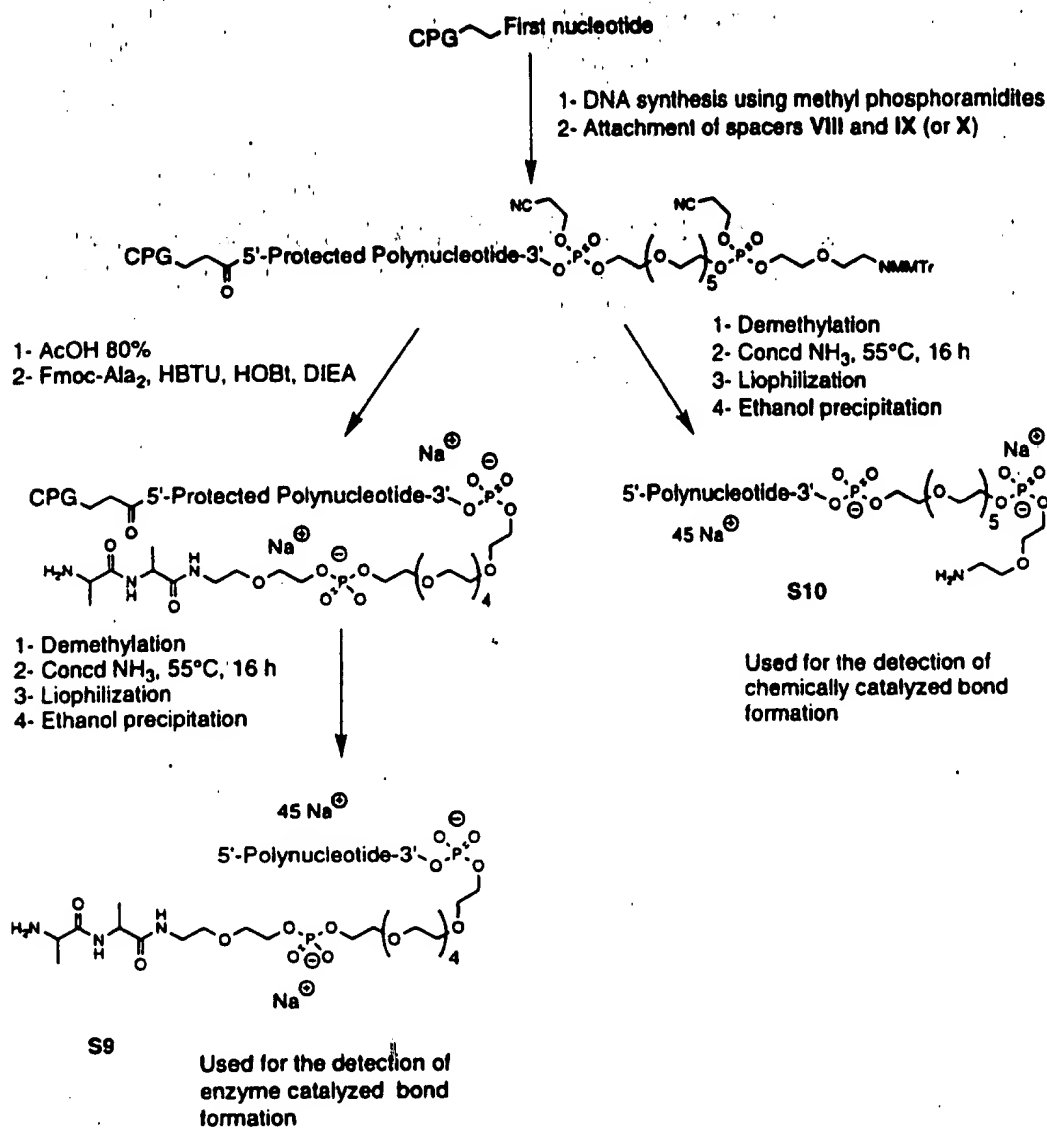


Figure 6

7/15



8/16

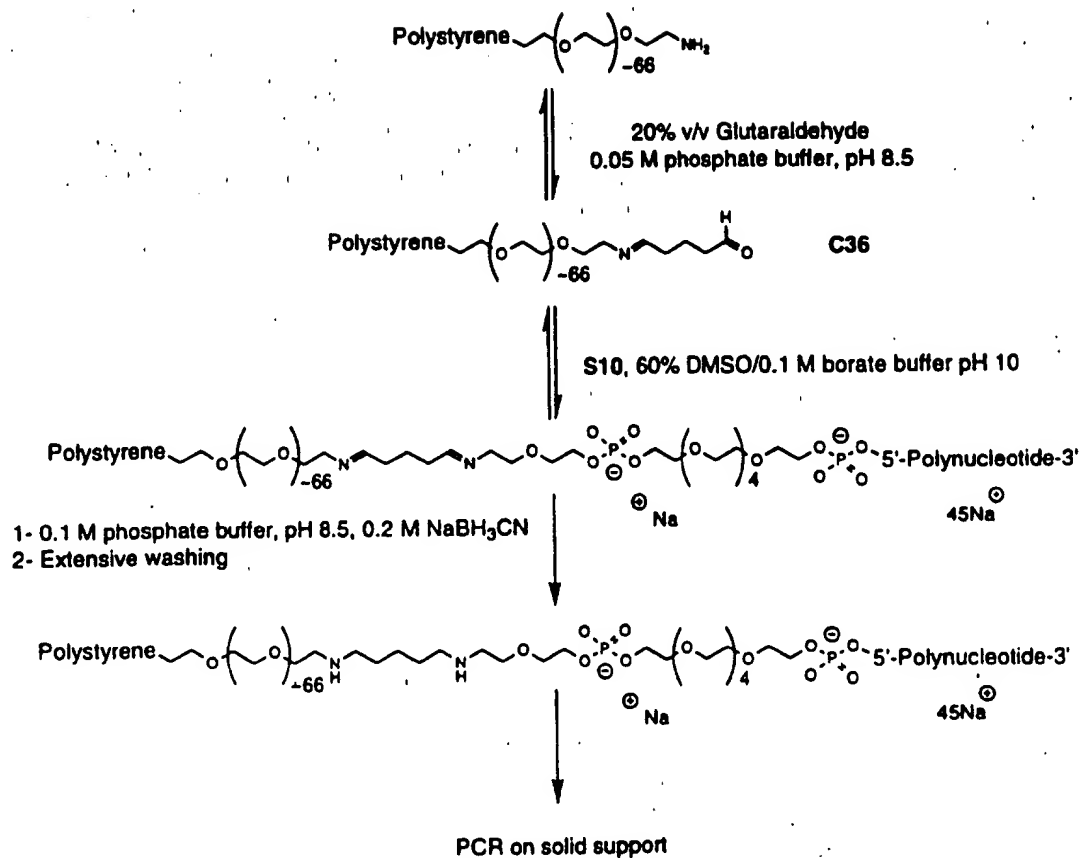


Figure 8

9/16

	Primer 1	Encoding sequence	Primer 2
Template A	5'- AGC TAC TTC CCA AGG	GAG CTG CTG CTA GTC GGG CCC TAT TCT TAG- 3'	
Template B	5'- CTA AGA ATA GGG CCC GAC TAG CAG CAG CTC CCT TGG GAA GTA GCT- 3'		
Template C	5'- GGC GGC TTC CCA AGG GAG CTG CTG CTA GTC TAT TCT TAG GGG CCC- 3'		
Template D	5'- GGC GGC TTC CCA AGG GAG CTG CTG CTA GTC TAG GCG TAG GGG CCC- 3'		
Template E	5'- GGC GAC GTG ATG GGC AAT TTG ATG ATA GAC TAG GCG GAG GCG AGG- 3'		
Template F	5'- CGC AGG GTG AGT AAG GCA GCA TAC GCA GCA ATA GTG GAC GGA GCG- 3'		
Template G	5'- AGC TAC TTC CCA AGG GAG CTC CTG CTA GTC GGG CCC TAT TCT TAG - 3'		

Template A = SEQUENCE ID NO: 9
Template B = SEQUENCE ID NO: 10
Template C = SEQUENCE ID NO: 11
Template D = SEQUENCE ID NO: 12
Template E = SEQUENCE ID NO: 13
Template F = SEQUENCE ID NO: 14
Template G = SEQUENCE ID NO: 8

Figure 9

10/16

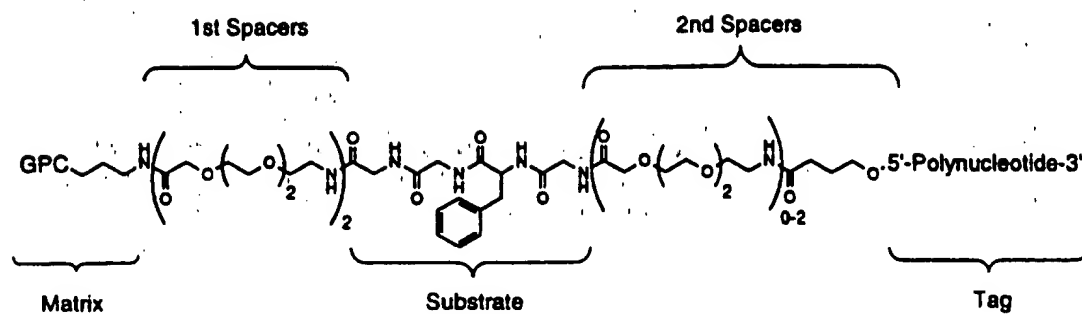


Figure 10

11/16

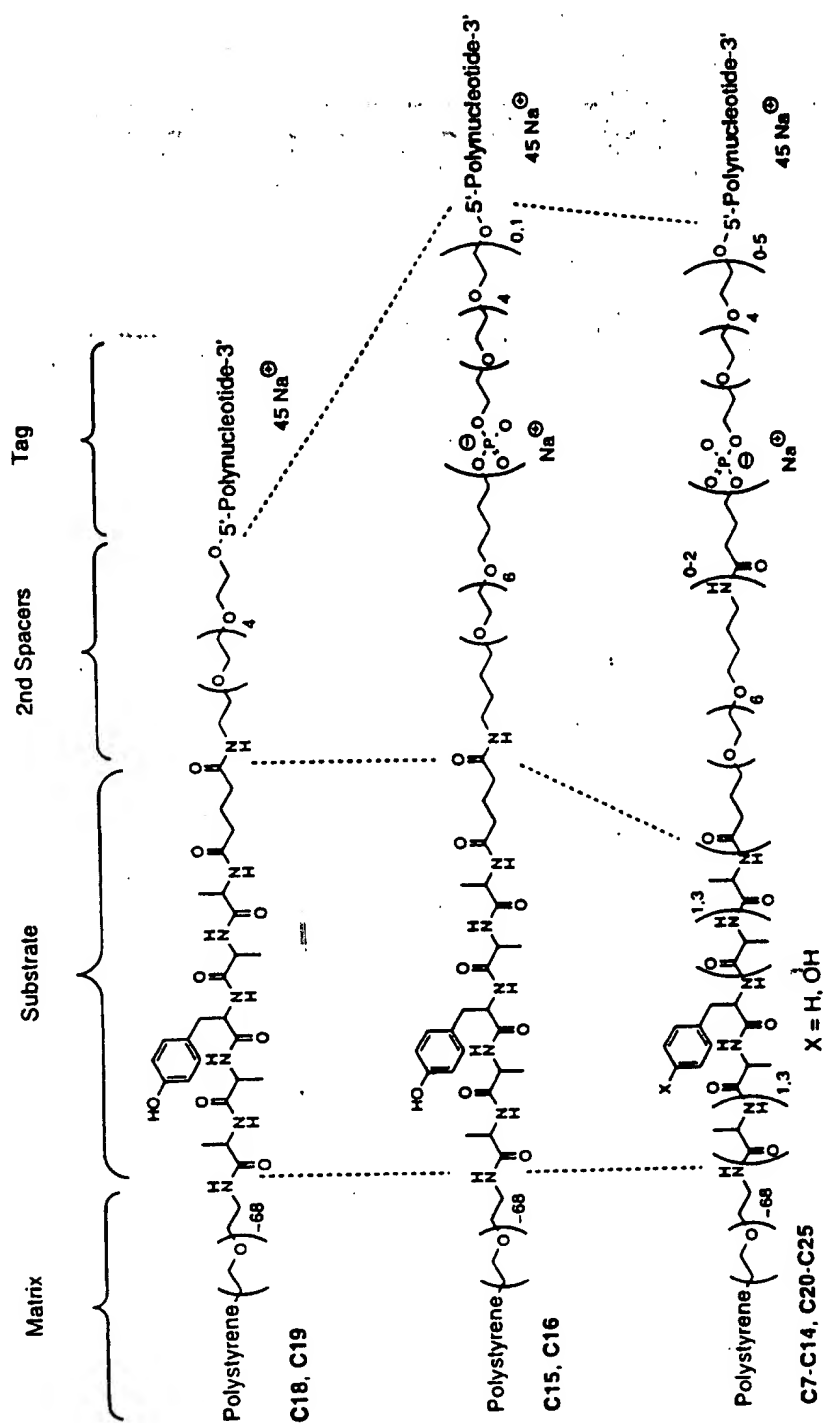


Figure 11

12/16

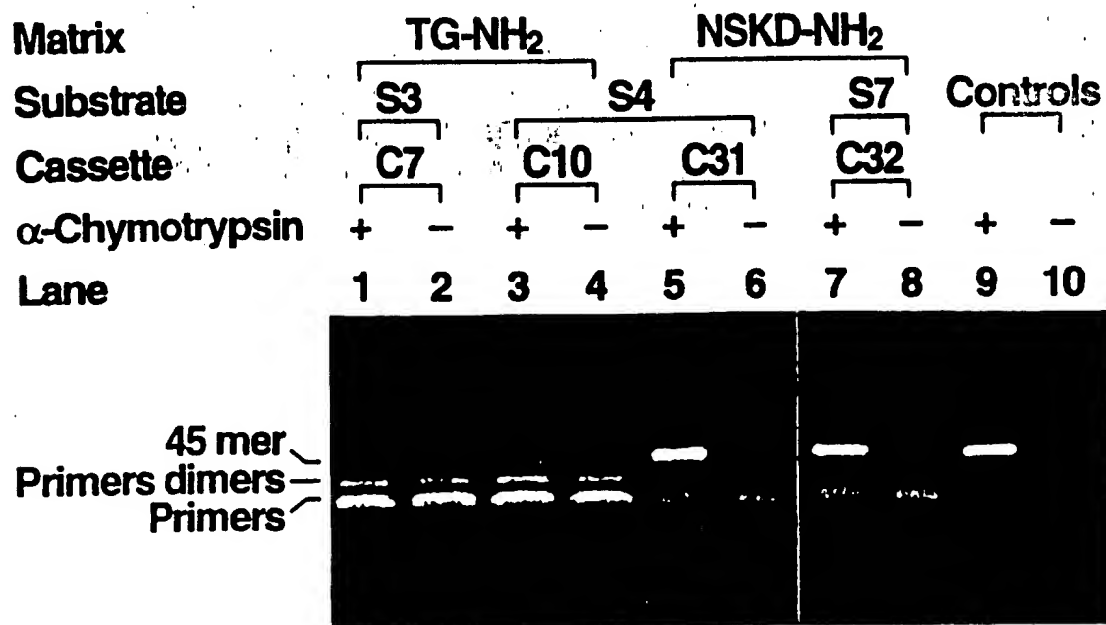


Figure 12

13/16

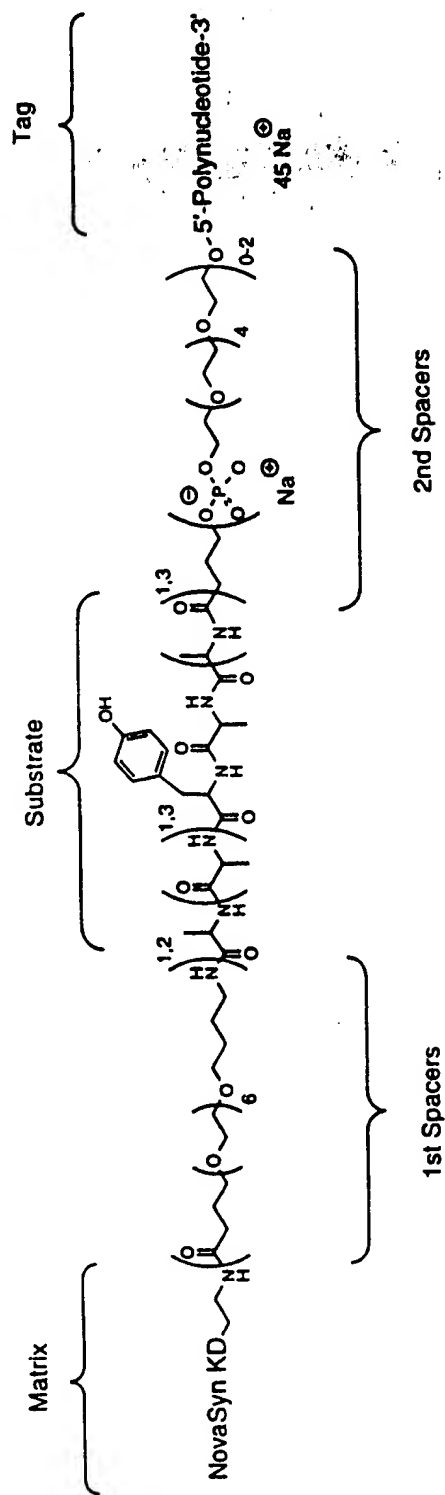


Figure 13

14/16

Matrix	NSKD-VI ₂ -NH ₂			TG-NH ₂			C34			C35			C36			TG-NH ₂		
Polynucleotide	-	-	-	-	-	-	S9			S9			S10			Controls		
α-Chymotrypsin (units)	-	-	1	-	-	1	1	0.1	-	1	0.1	-	-	-	-	-	+	-
Lane	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16		

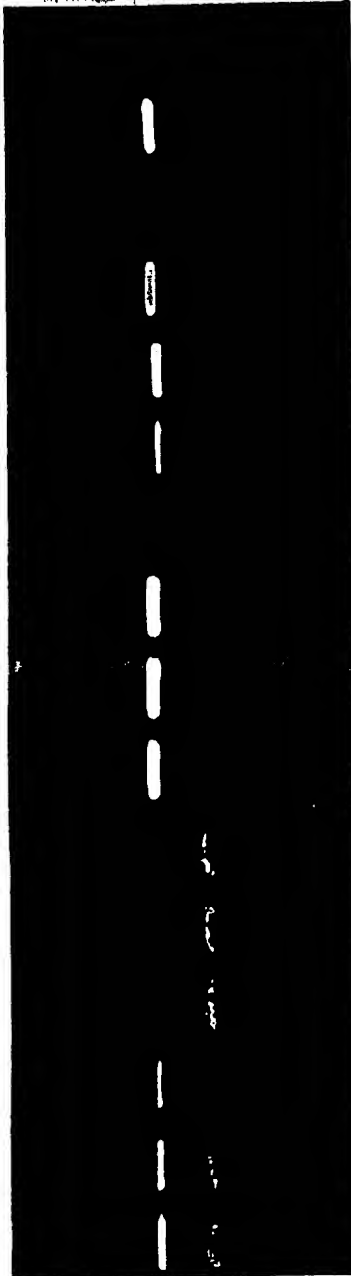
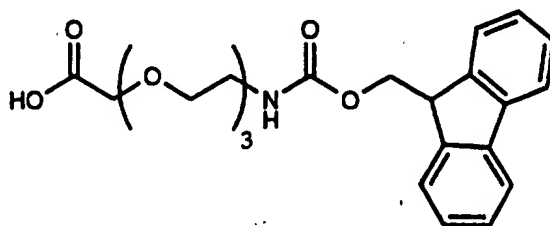
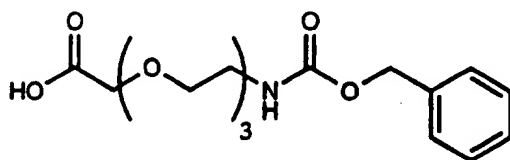


Figure 14

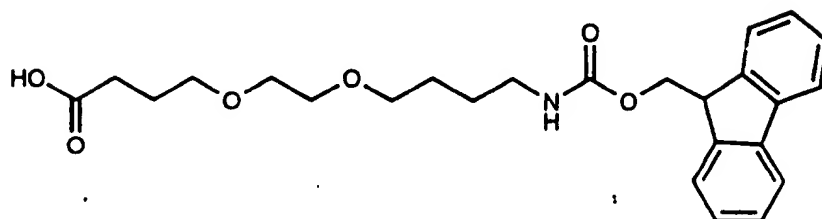
15/16



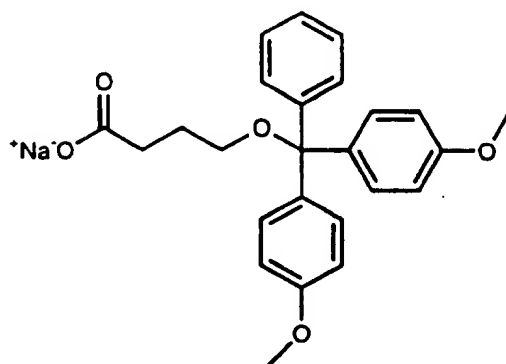
L-I



L-II



L-III



L-IV

Figure 15

16/16

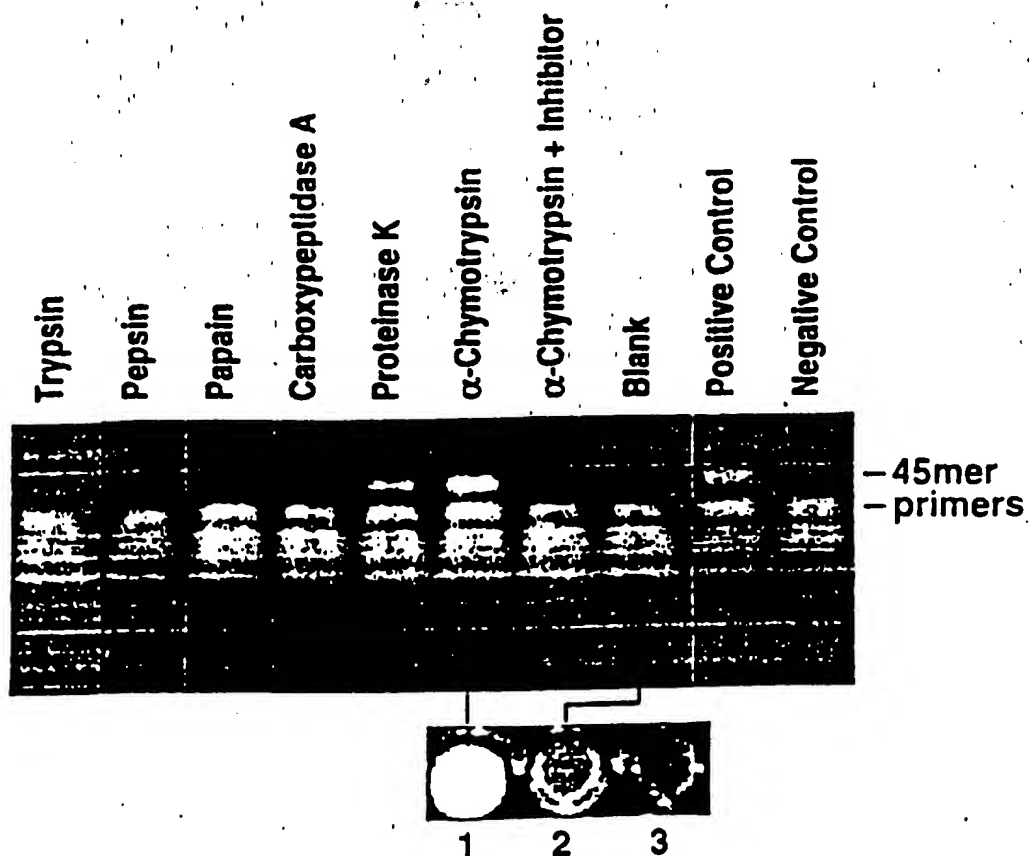


Figure 16

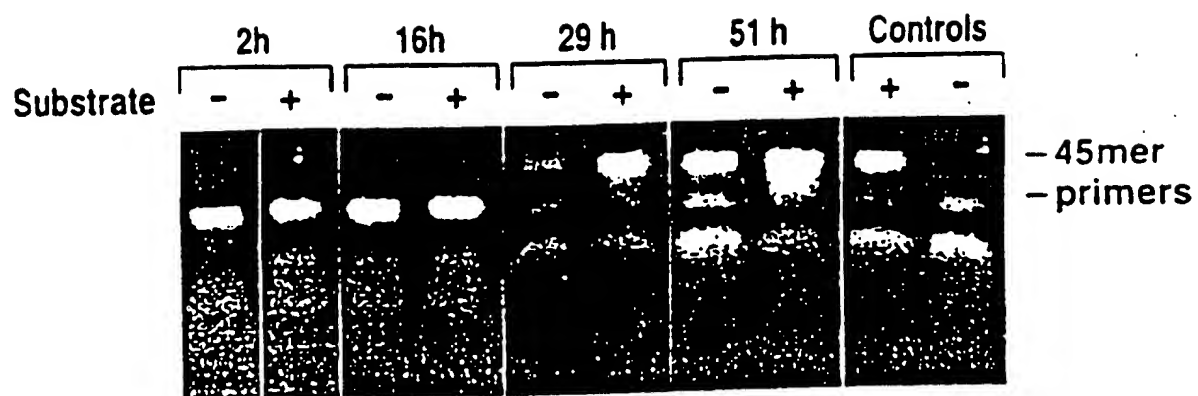


Figure 17

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/00888

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C12Q 1/68; C12P 19/34; C12N 9/96; C07K 16/00; C07H 21/04
US CL : 435/6, 91.1, 91.2, 188; 530/389.1; 536/ 24.3

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/6, 91.1, 91.2, 188; 530/389.1; 536/ 24.3

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, A	US, A 5,432,062 (TURECEK) 11 July 1995, abstract, columns 1-3.	1-38
A	US, A, 5,318,897 (PAUL) 07 June 1994, see abstract, column 7.	1-38
A	US, A, 4,272,506 (SCHWARZBERG) 09 June 1981, see abstract.	1-38
A	US, A, 5,190,864 (GIESE ET AL.) 02 May 1993, see abstract.	1-38
A	US, A, 5,380,833 (URDEA) 10 January 1995, see abstract and Figure 1.	1-38
A	US, A 5,200,314 (URDEA) 06 April 1993, abstract, see Figure 1.	1-38

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A		document defining the general state of the art which is not considered to be part of particular relevance
* E		earlier document published on or after the international filing date
* L		document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
* O		document referring to an oral disclosure, use, exhibition or other means
* P		document published prior to the international filing date but later than the priority date claimed
	* X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
	* Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
	* &	document member of the same patent family

Date of the actual completion of the international search

13 MAY 1996

Date of mailing of the international search report

10 JUN 1996

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

DIANNE REES

Telephone No. (703) 305-0196

Form PCT/ISA/210 (second sheet)(July 1992)*

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/00888

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, A	US, A, 5,462,852 (ARTHUR ET AL.) 31 October 1995, column 2, lines 5-10.	1-38

Form PCT/ISA/210 (continuation of second sheet)(July 1992)*

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/00888

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, AIDSLINE, BIOSIS, BIOTECHABS, BIOTECHDS, CANCERLIT, CABA, CAPLUS, DISSABS, DRUGU, EMBASE, SCISEARCH, TOXLIT, USPATFULL, WPIDS, INPADOC, JAPIO
search terms: catalytic antibodies, substrates, cleaved, solid supports, proteins cleaved from solid supports, biotinylated primers cleaved from supports, antigen detection by PCR, immuno-PCR, protease cleavage and solid supports, and nucleic acid labels or tags.